

Sphere Packing, Modular Bootstrap and Extremal Functionals

Dalimil Mazáč
Simons Center & YITP, Stony Brook

Based on work with T. Hartman and L. Rastelli: 1905.01319
and earlier work

D.M.: 1611.10060

D.M., M. Paulos: 1803.10233

Institut Henri Poincaré
Dec 19 2019

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Does **pure gravity** exist as a fully consistent quantum theory?

 only gravitons and black holes in the spectrum

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Along the way will uncover a very close connection to the recent solution of the [sphere packing problem](#) in dimensions 8 and 24.

[Cohn, Elkies '01; Viazovska '16; Cohn, Kumar, Miller, Radchenko, Viazovska '16]

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Stronger bound at large central charge

$$\Delta < \frac{2c}{17} + O(1)$$

$\sim \frac{c}{8.5}$

Outline

1. Virasoro Modular Bootstrap

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- Analytic functionals review
- Proof of the main theorem

2. Sphere Packing Problem

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- Bounds from linear programming
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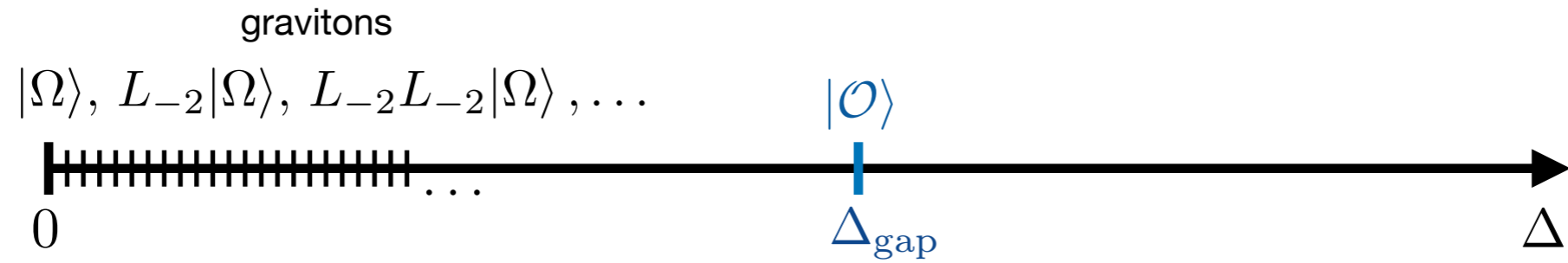
gravitons

$|\Omega\rangle, L_{-2}|\Omega\rangle, L_{-2}L_{-2}|\Omega\rangle, \dots$



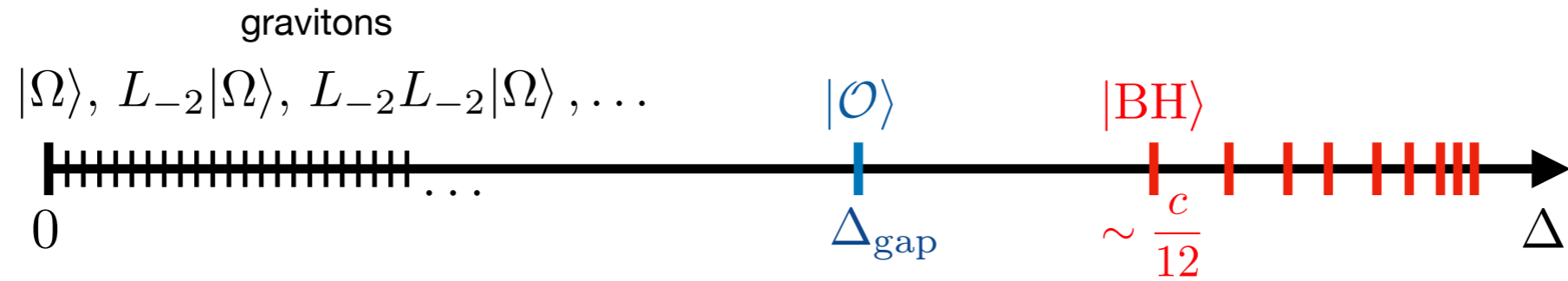
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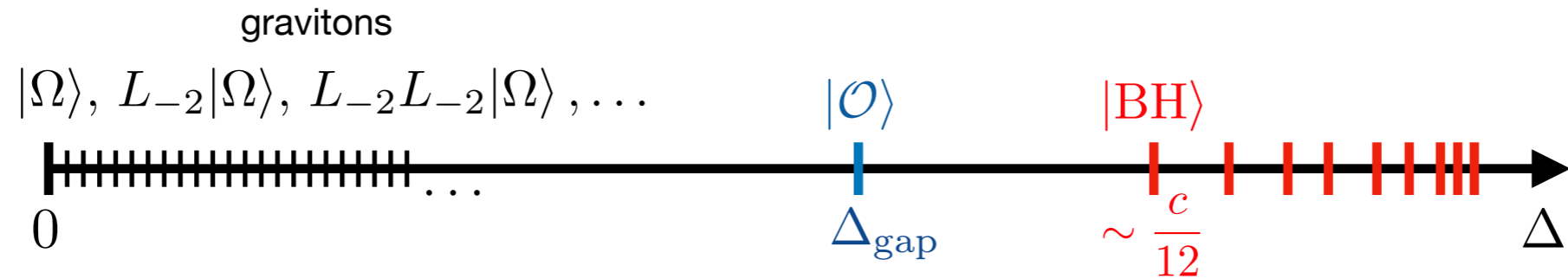
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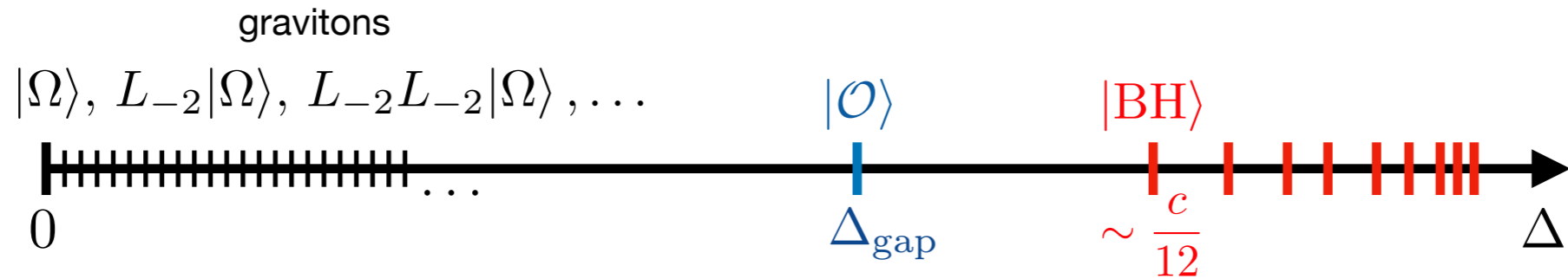


Torus partition function at zero angular potential

$$Z(\tau) = \sum_{\text{states}} q^{\Delta - \frac{c}{12}} = \sum_{\text{primaries}} \chi_{\Delta}(\tau)$$

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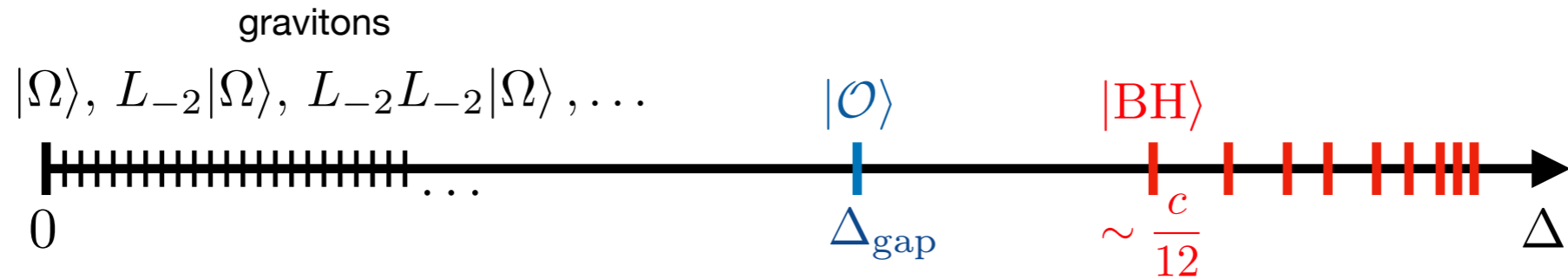
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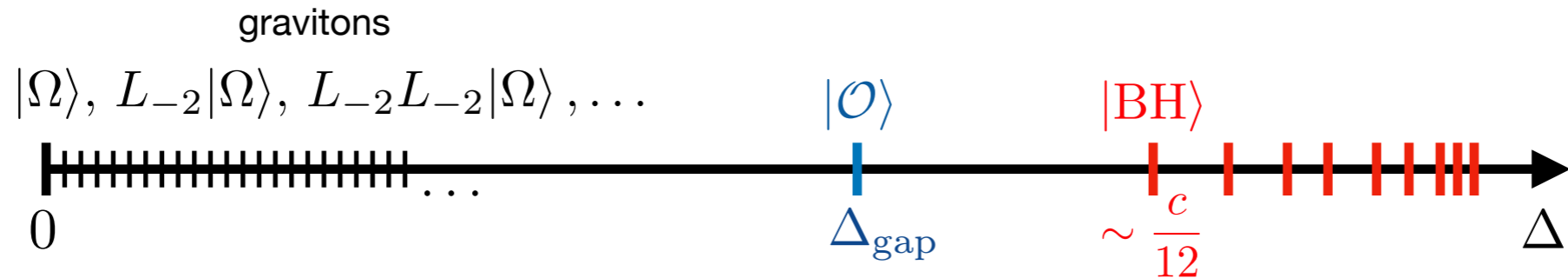
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large bulk diffeomorphisms
UV/IR connection

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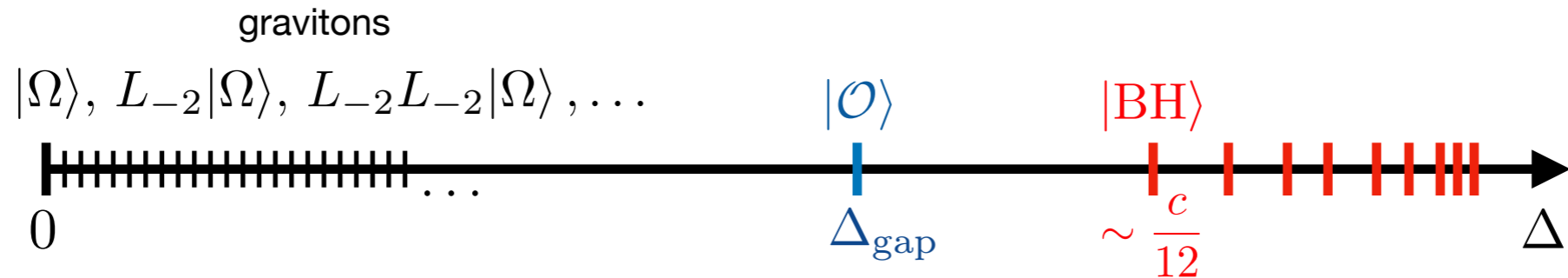
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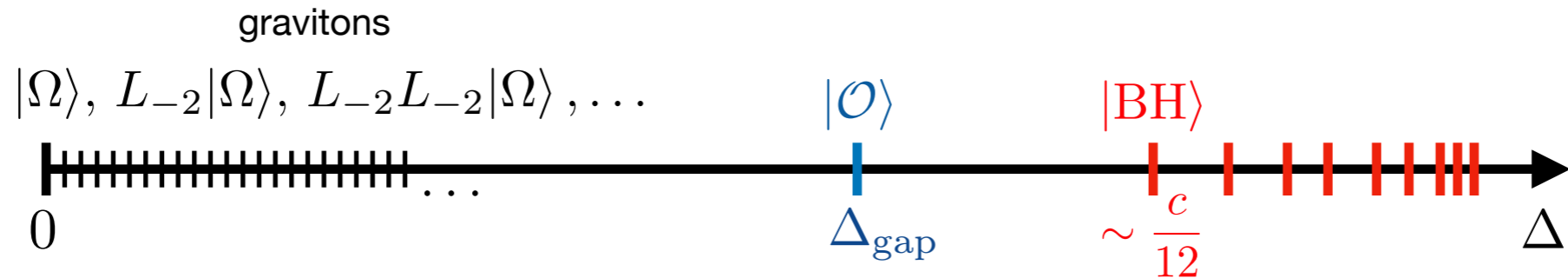
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Working with full-fledged CFTs, not chiral CFTs!

$$\Delta \notin \mathbb{Z}$$

$$Z(\tau) \neq Z(\tau + 1)$$

in general

Functional Bootstrap

[Rattazzi, Rychkov, Tonni, Vichi '08]

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Upper bounds on Δ_{gap} can be found as follows:

$$\Phi_{\text{vac}}(\tau) + \sum_{\substack{\text{primaries} \\ \Delta > 0}} \Phi_{\Delta}(\tau) = 0 \qquad \Phi_{\Delta}(\tau) = \chi_{\Delta}(\tau) - \chi_{\Delta}(-1/\tau)$$

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If there exists a linear functional ω acting on functions of τ such that:

$$\omega[\Phi_{\text{vac}}] > 0$$

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$\mu < \frac{1}{12}$ would prove that semi-classical pure gravity is not consistent as a quantum theory.

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A different construction of ω is needed to make analytic progress.

The Optimal Functional

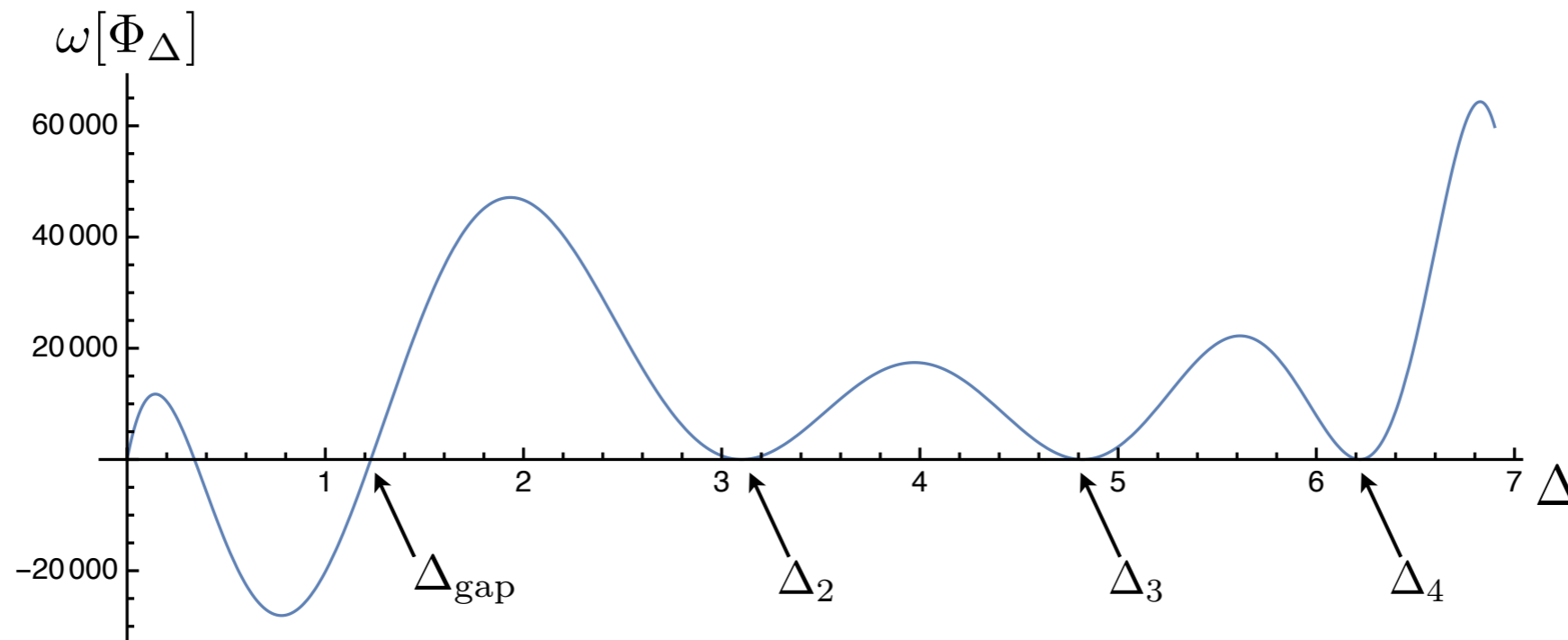
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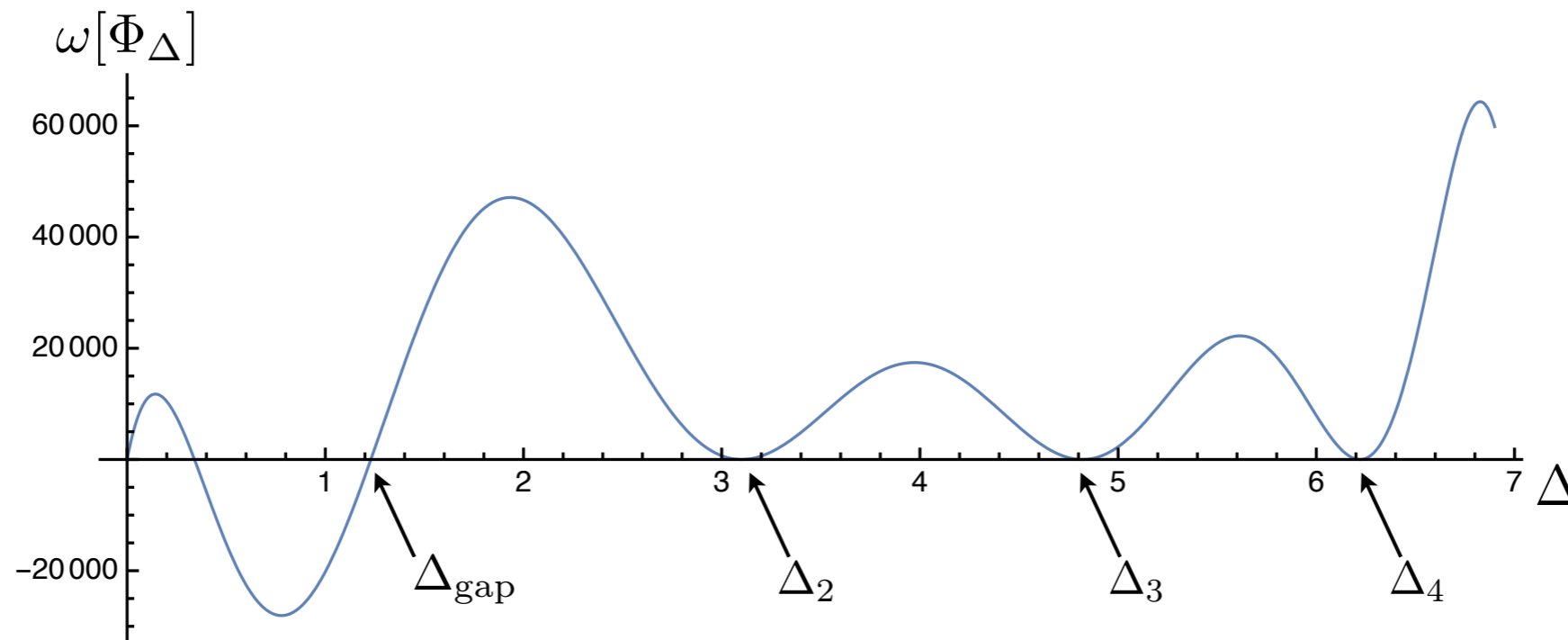
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The only analytic construction of the optimal functional known so far is for the four-point function bootstrap on a line.

Nevertheless, this will be enough to prove our main theorem.

Optimal Bound for the 1D Bootstrap [\[DM '16; DM, Paulos '18\]](#)

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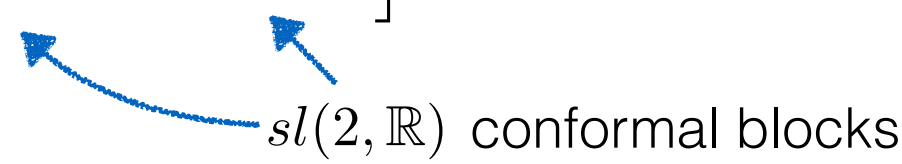
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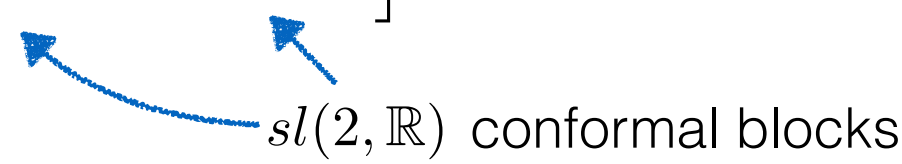


$sl(2, \mathbb{R})$ conformal blocks

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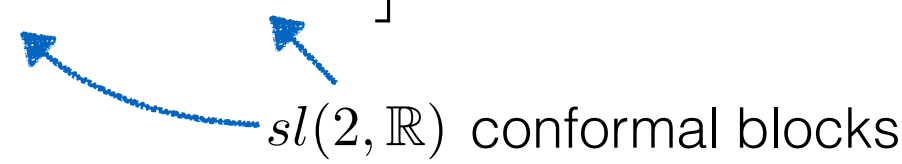
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The solution with maximal gap is the [fermionic mean-field theory](#).

Spectrum: $2\Delta_{\sigma} + 1, 2\Delta_{\sigma} + 3, \dots$

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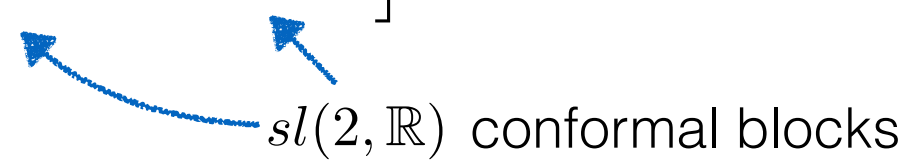
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Optimal Bound for the 1D Bootstrap [DM '16; DM, Paulos '18]

Put four conformal primaries on a line: $\langle \sigma(x_1)\sigma(x_2)\sigma(x_3)\sigma(x_4) \rangle$

The crossing equation is
$$\sum_{\text{primaries}} f^2 \left[G_{\Delta}^{(s)}(z) - G_{\Delta}^{(t)}(z) \right] = 0 \quad z = \text{cross-ratio}$$


$sl(2, \mathbb{R})$ conformal blocks

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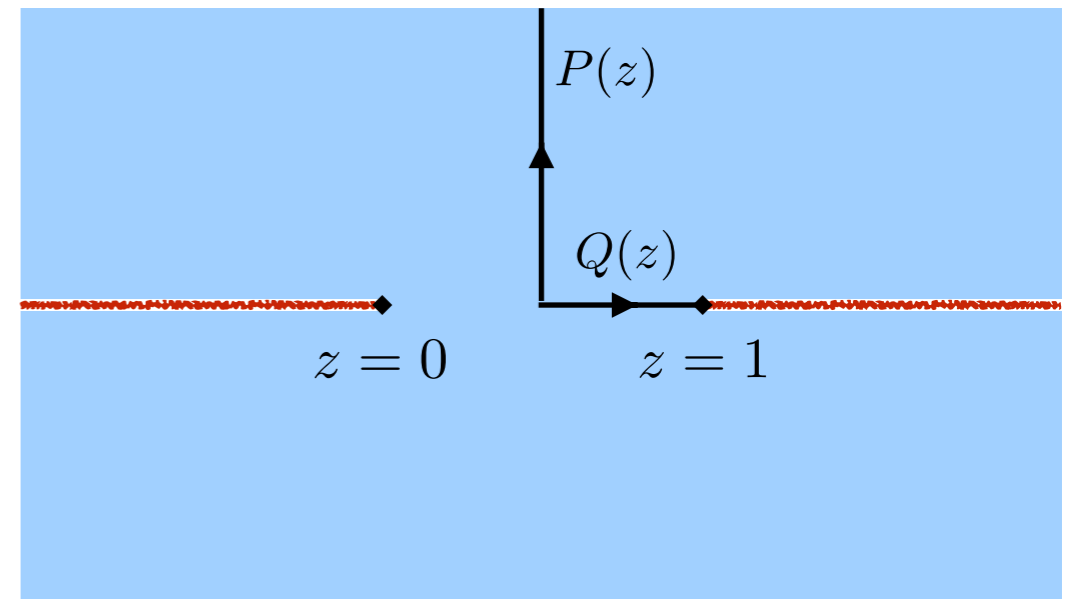
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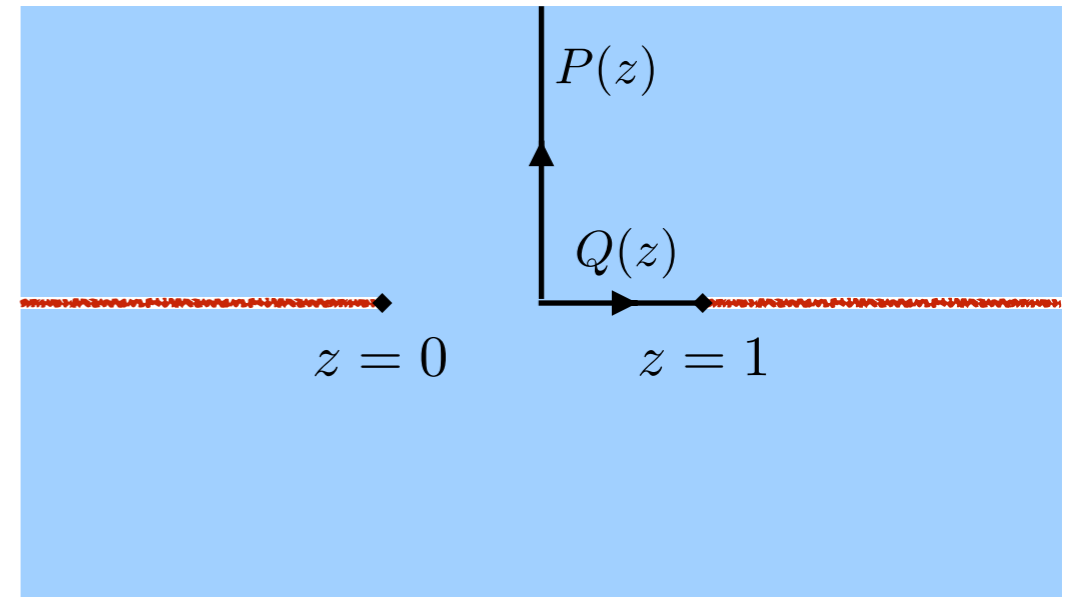
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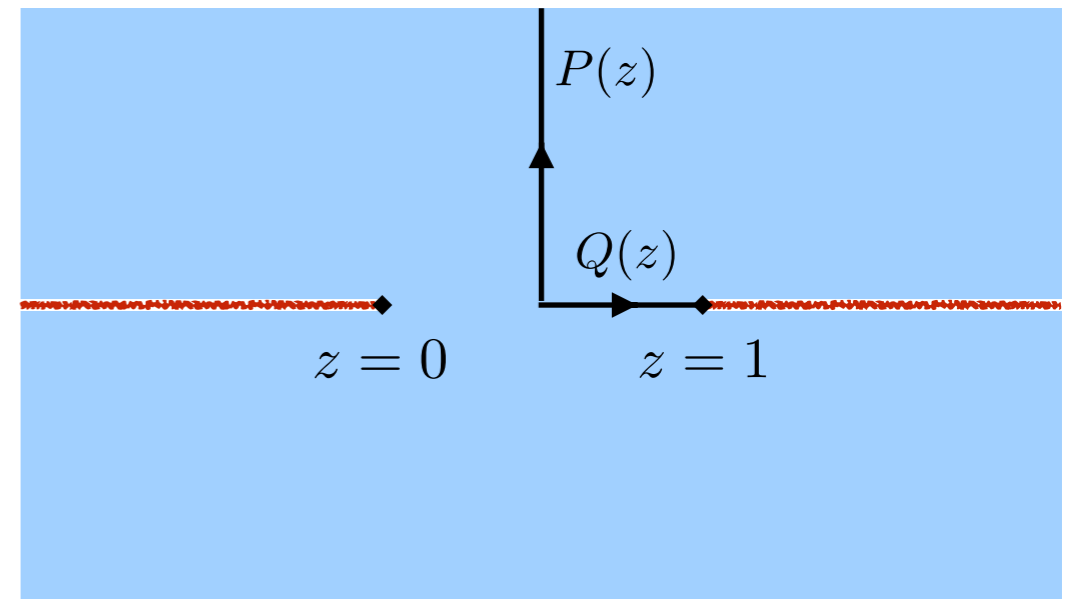
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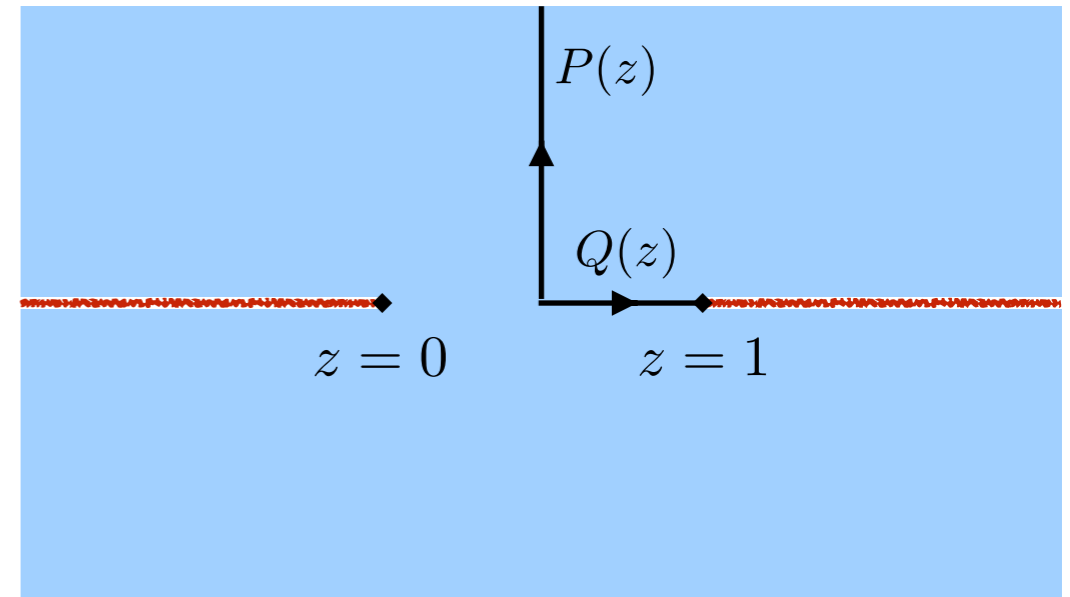
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$$\Delta_{\sigma} = 1/2 \quad P(z) = \frac{5z(z-1) + 2}{z^2(z-1)^2}$$

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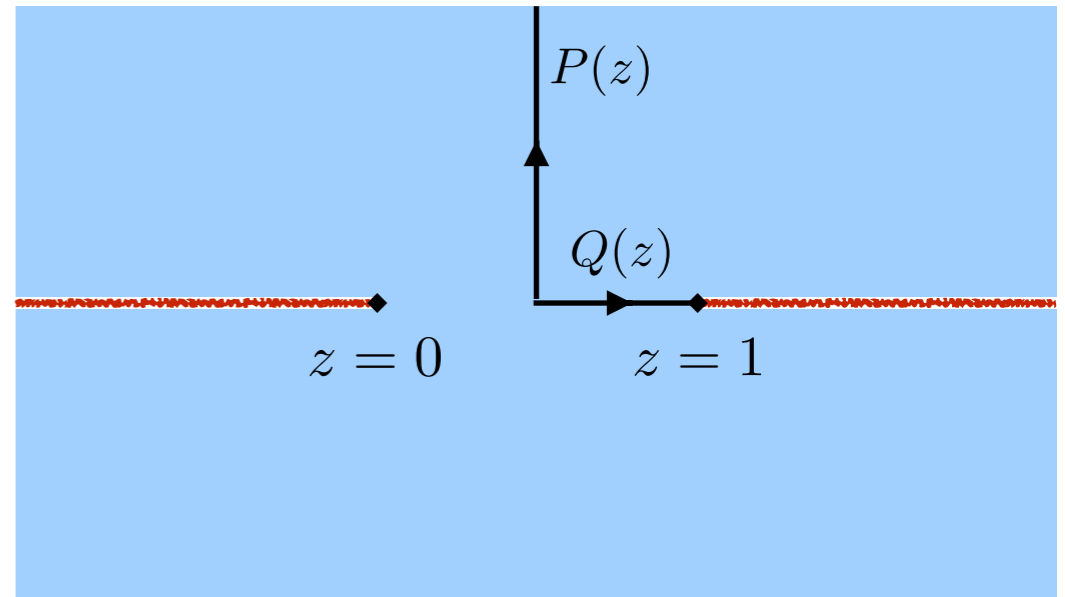
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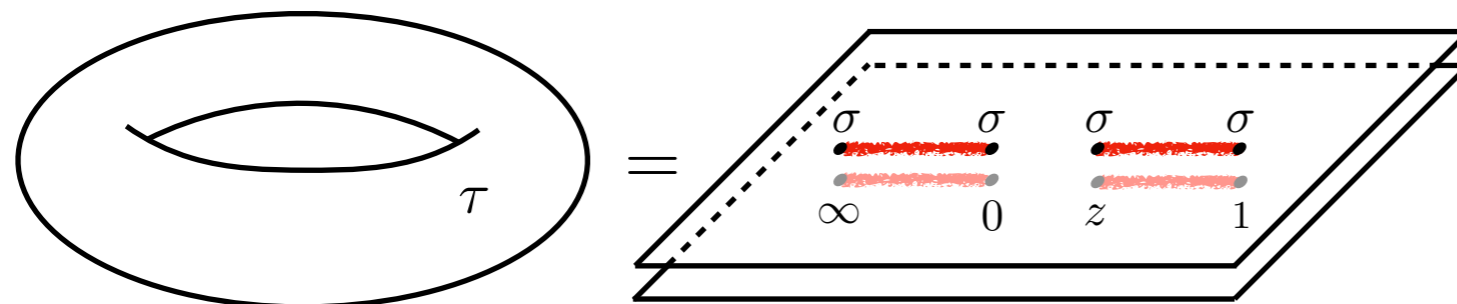
General solution for $\Delta_{\sigma} \in \mathbb{R}_+$: [DM, Paulos '18]

$$P(z) = \frac{2z-1}{[z(z-1)]^{3/2}} \left[{}_3\tilde{F}_2 \left(-\frac{1}{2}, \frac{3}{2}, 2\Delta_{\sigma} + \frac{3}{2}; \Delta_{\sigma} + 1, \Delta_{\sigma} + 2; -\frac{1}{4z(z-1)} \right) + \right.$$

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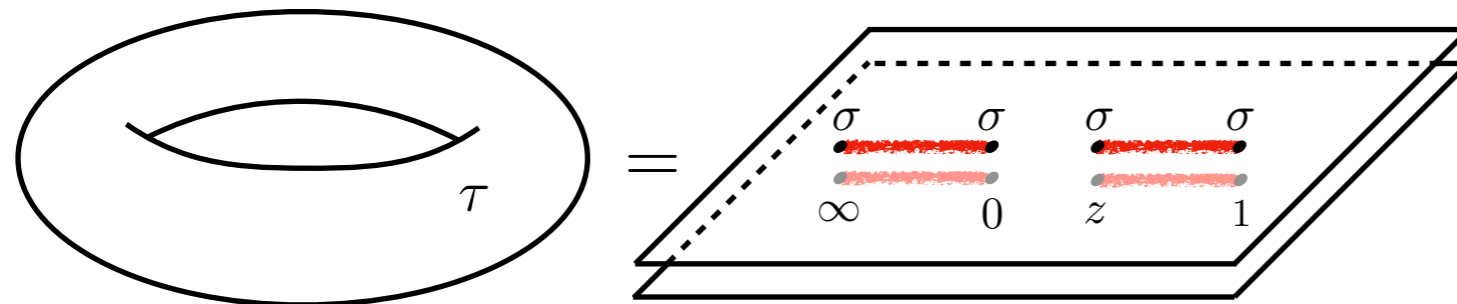
Back to the Torus: The Pillow Map

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The torus is a double cover of the four-punctured sphere.

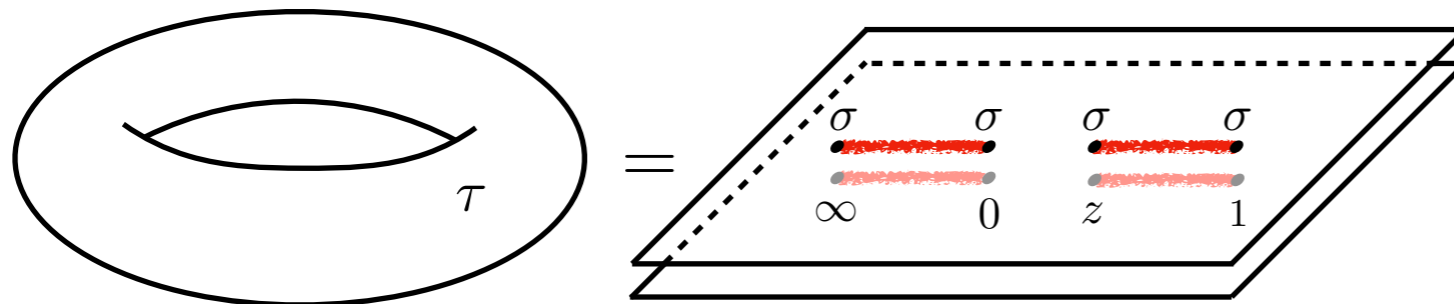
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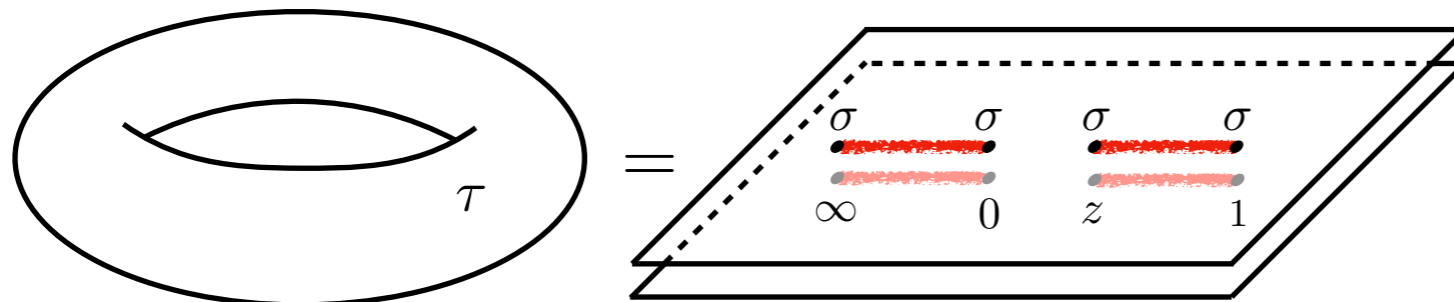


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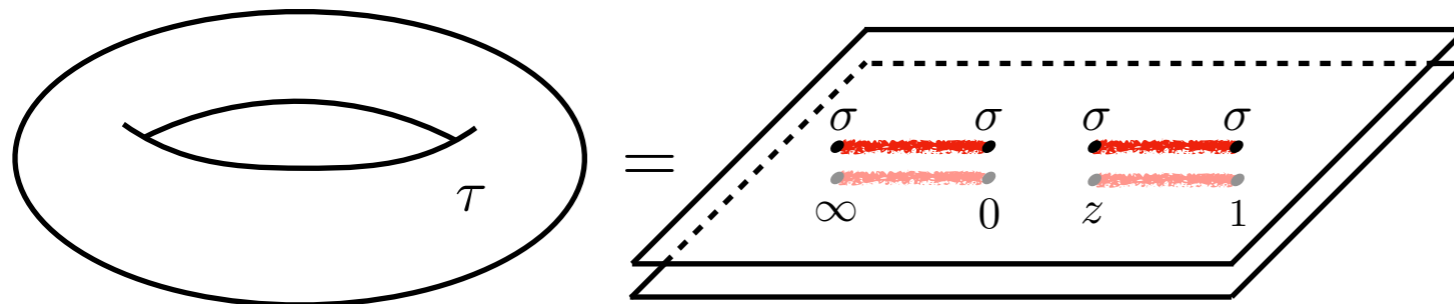
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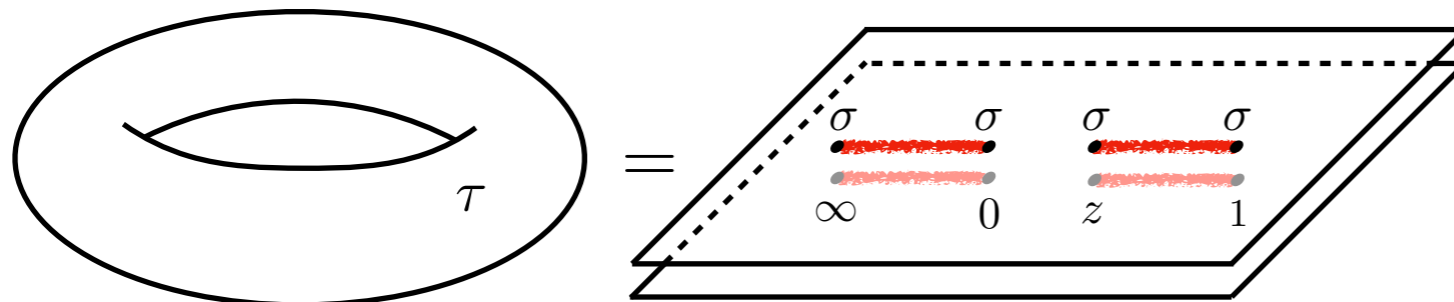
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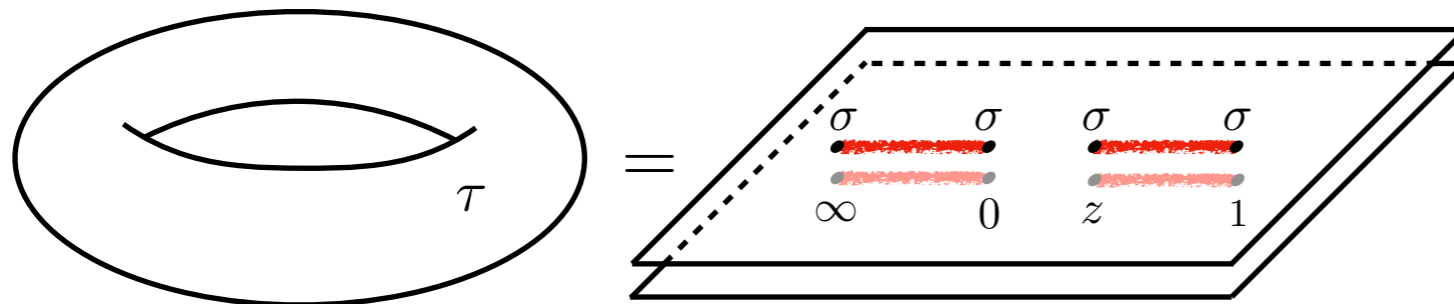
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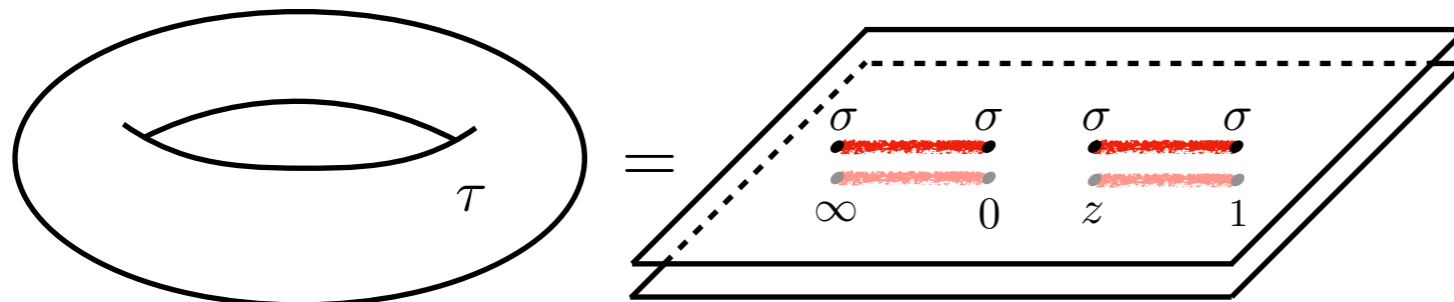
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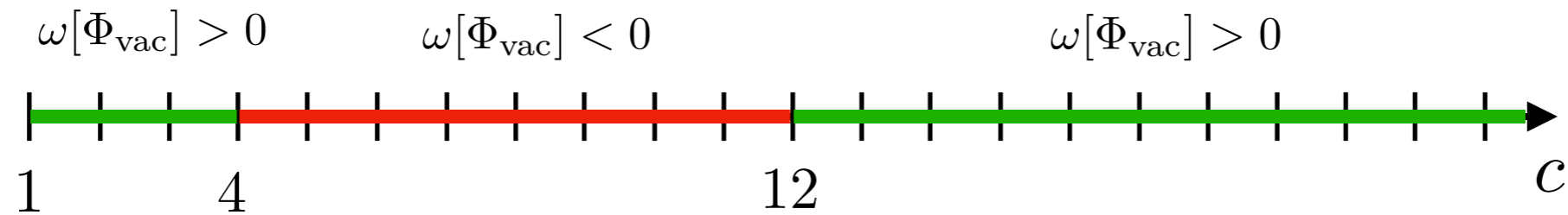
Subtlety: Virasoro characters $\neq sl(2, \mathbb{R})$ conformal blocks.

Need to check $\omega[\Phi_{\text{vac}}] \geq 0$

Modular Bootstrap Conclusions [\[Hartman, DM, Rastelli '19\]](#)

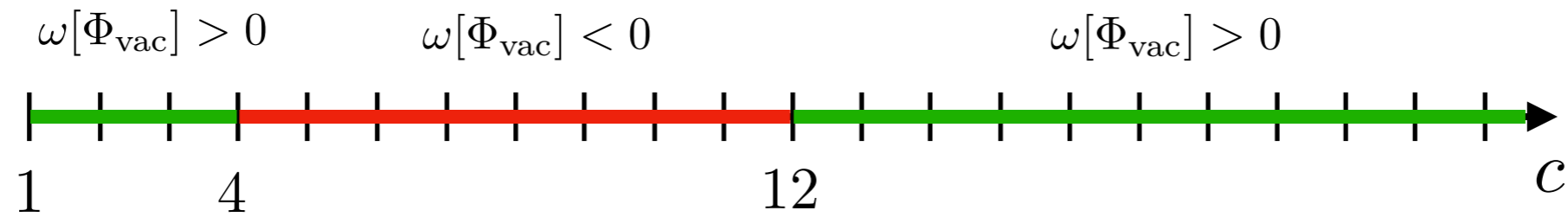
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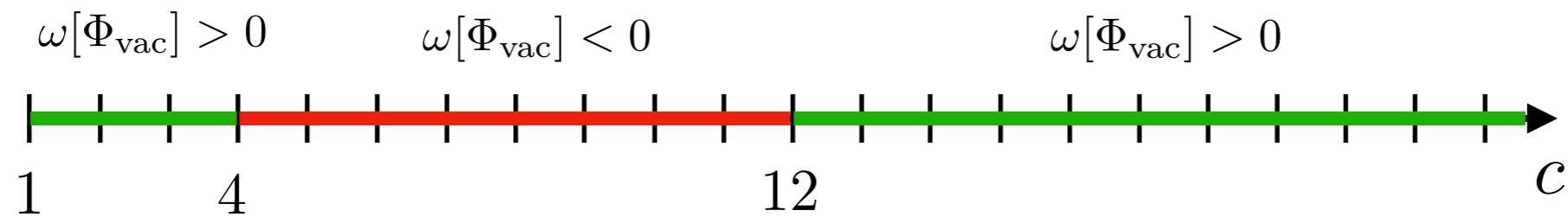
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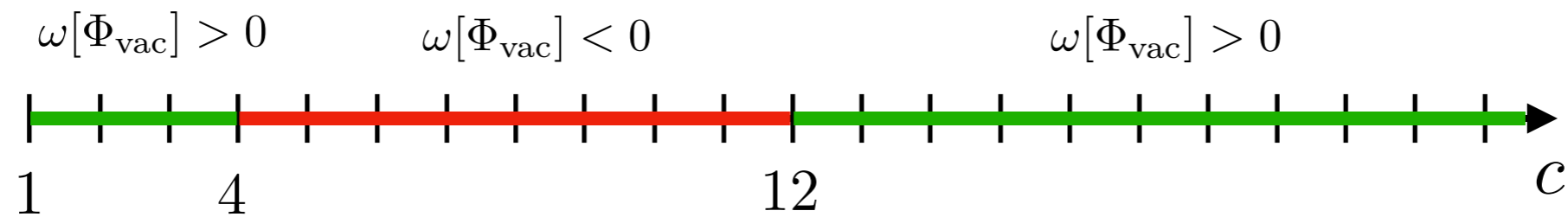
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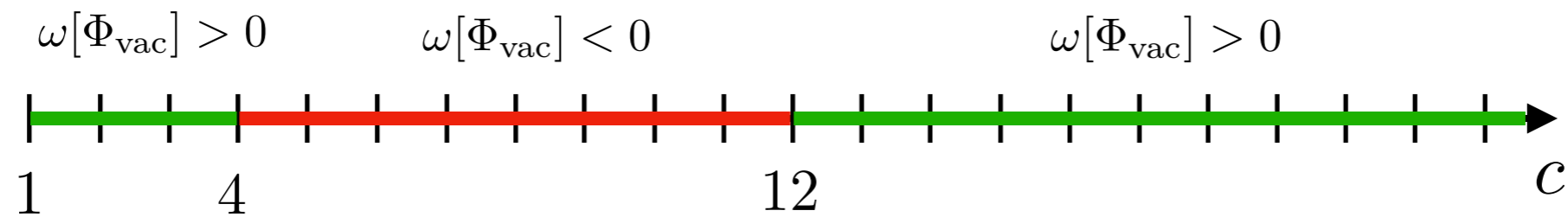


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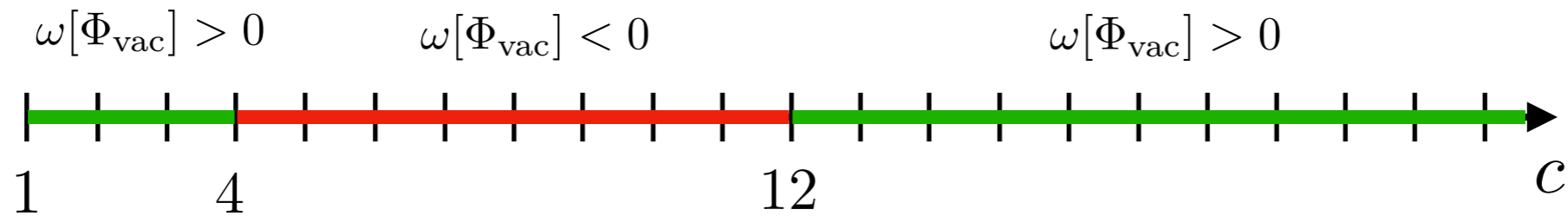
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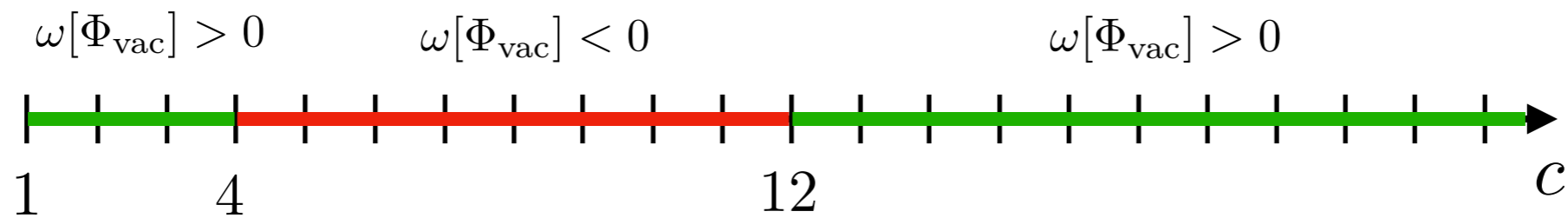
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These two cases will map to the solution of the sphere packing problem in $d = 8$ and $d = 24$.

Road Map

1. Virasoro Modular Bootstrap

- $\text{AdS}_3/\text{CFT}_2$ and the modular bootstrap
- Analytic functionals review
- Proof of the main theorem



2. Sphere Packing Problem

- Sphere packing review
- Bounds from linear programming
- The solution in 8 and 24 dimensions from the analytic bootstrap

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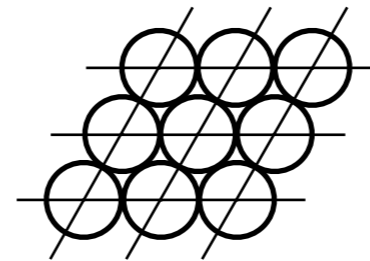
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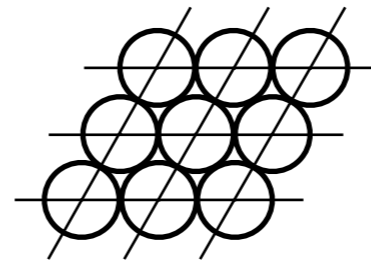
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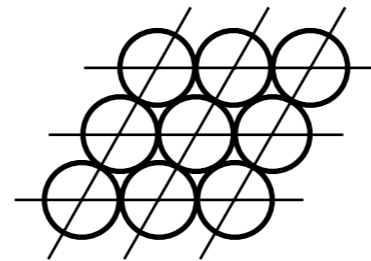
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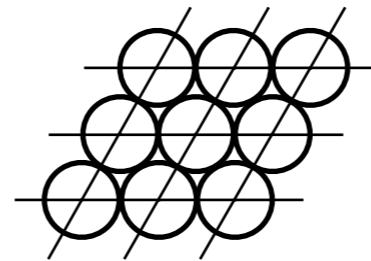
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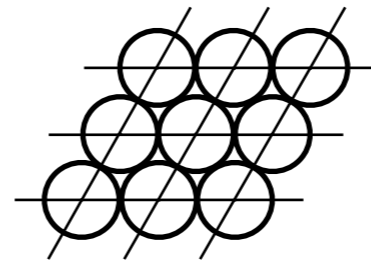
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[\[Cohn, Kumar, Miller, Radchenko, Viazovska '16\]](#)

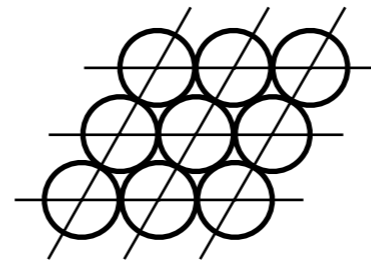
Sphere Packing Problem

Statement: Find the densest arrangement of identical non-overlapping spheres in \mathbb{R}^d .

Deep problem, connections to number theory, cryptography, etc.

$d = 1$ trivial

$d = 2$ the honeycomb lattice [Toth '40]



$d = 3$ Kepler's conjecture: FCC lattice. Proved by [Hales '98]. Computer-assisted proof took 11 years to verify.



$d \geq 4$ open, with the exception of:

$d = 8$ E_8 lattice is optimal

[Viazovska '16]

self-dual lattices, spectrum:

$$|x|^2 = 0, 2, 4, 6, \dots$$

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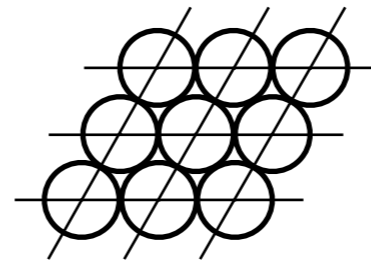
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No requirement to be a lattice in general! Efficient packings in large d highly irregular.

[\[Torquato, Stillinger '05\]](#)

The Sphere Packing Bootstrap

[Cohn, Elkies '01]

[Hartman, DM, Rastelli '19]

Idea: Prove a universal **upper bound** on the density of any packing in \mathbb{R}^d and show that this bound is **saturated** by the E_8 and Leech lattice in $d = 8, 24$.

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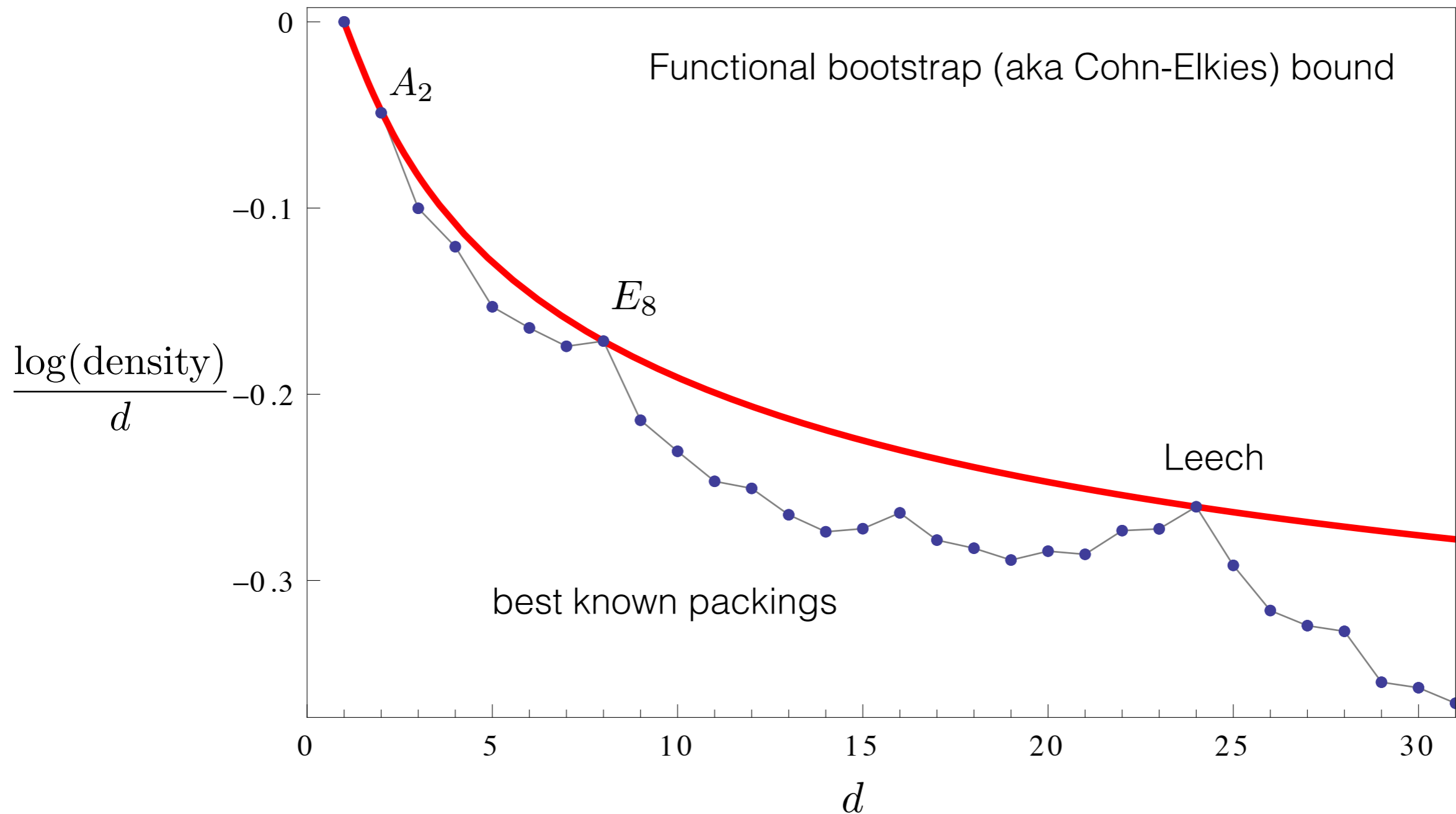
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- Use functional bootstrap to derive an upper bound on Δ_{gap}
 \Rightarrow upper bound on the sphere packing density

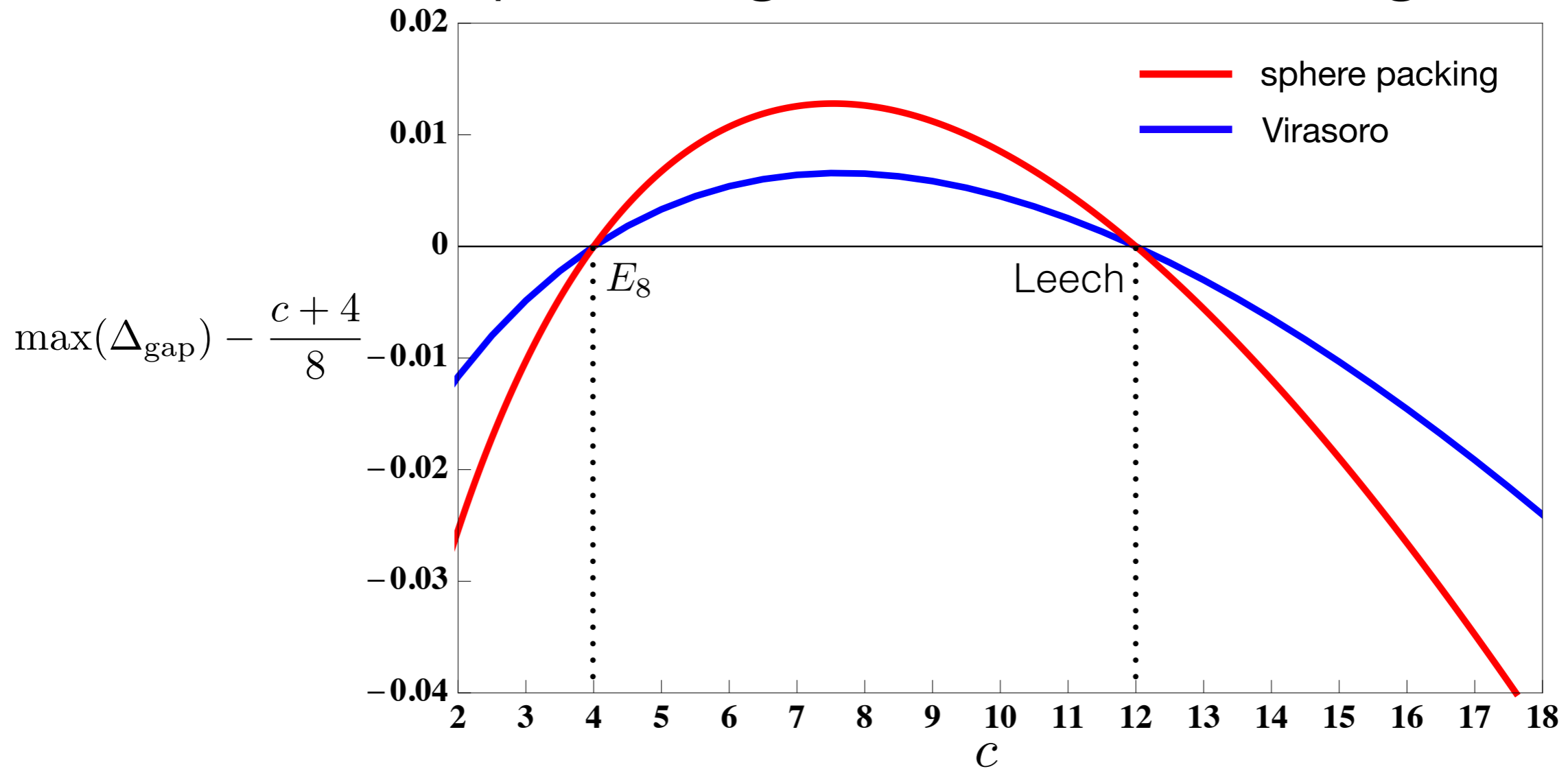
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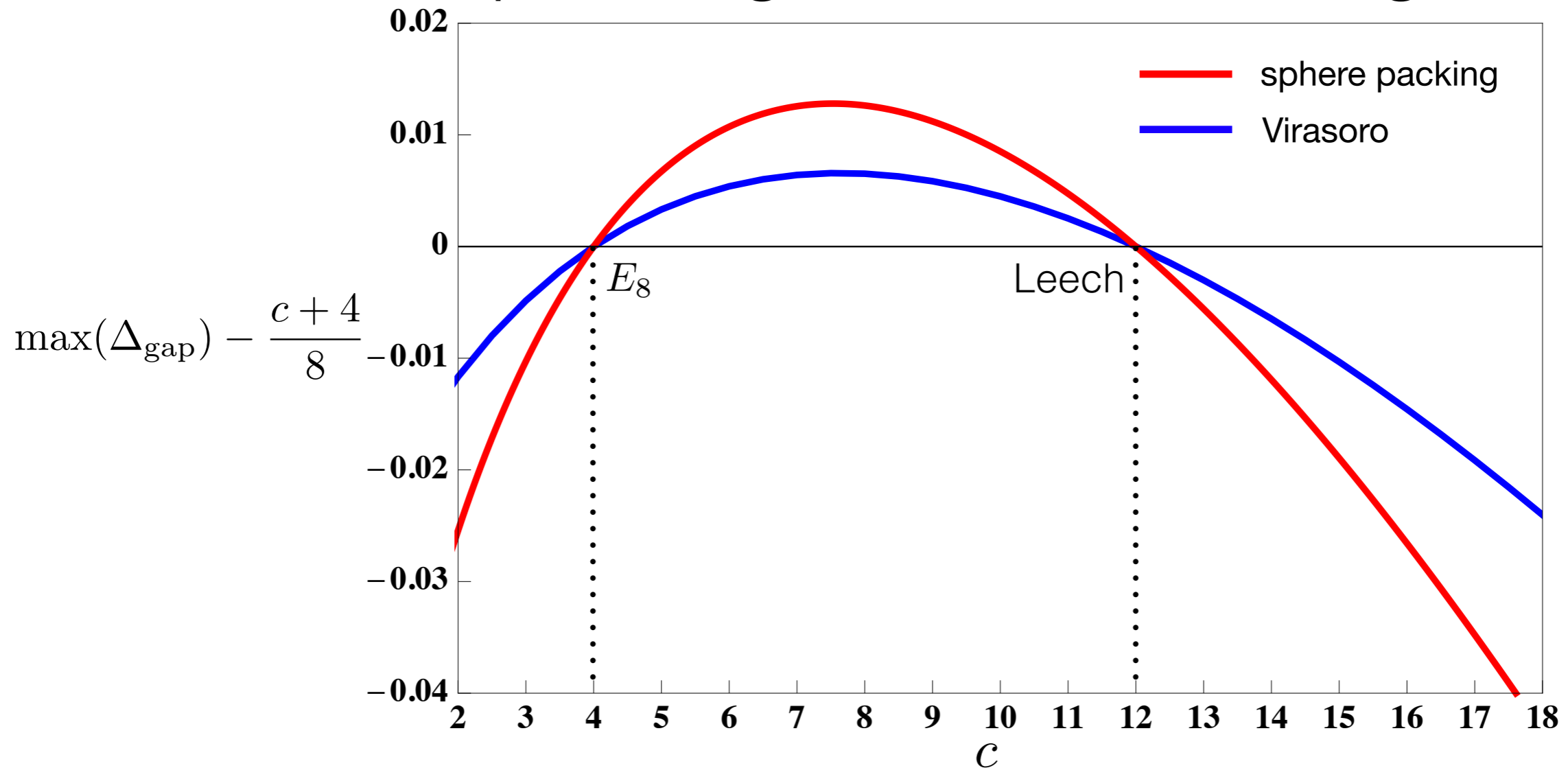


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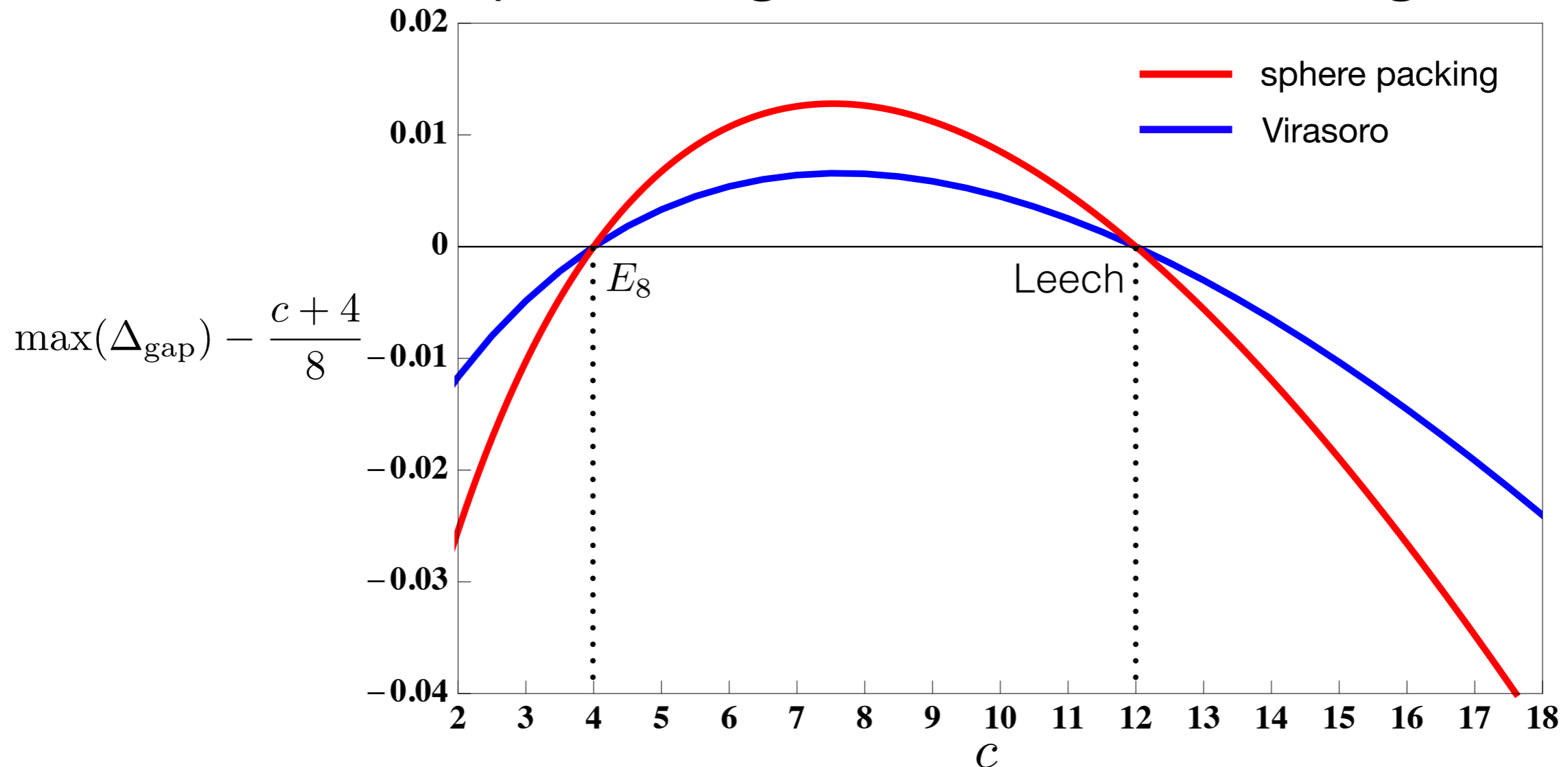
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What I have described is a condensed version of Viazovska's solution.

Dictionary

3D quantum gravity

sphere packing

parameter

central charge c

dimension of space $d = 2c$

symmetry

Virasoro²

$U(1)^c \times U(1)^c$

partition function

$$Z(\tau) = \sum_{\text{primaries}} \frac{e^{2\pi i\tau(\Delta - \frac{c-1}{12})}}{\eta(\tau)^2}$$

$$Z(\tau) = \sum_{\text{pairs of spheres}} \frac{e^{\pi i\tau|x_i - x_j|^2}}{\eta(\tau)^d}$$

scaling dimension

Δ

distance in \mathbb{R}^d $r = \sqrt{2\Delta}$

optimal bounds

$$c = 4: \quad \Delta_{\text{gap}} \leq 1$$

E_8 lattice optimal in $d = 8$

$$c = 12: \quad \Delta_{\text{gap}} \leq 2$$

Leech lattice optimal in $d = 24$

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In this context, the analytic functionals were discovered independently (and earlier!) by Viazovska under the name magic functions, building on the work of Cohn, Elkies and others. This led to the solution of the sphere-packing problem in 8 and 24 dimensions.

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Can pure gravity be ruled out, perhaps with some extra assumptions?

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Large scaling dimensions (UV)

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Large distances in the packing (IR)

Thank you!