

# Hydrodynamic diffusion and its breakdown near $\text{AdS}_2$ fixed points

**Blaise Goutéraux**

Center for Theoretical Physics,  
CNRS, Ecole Polytechnique, Institut Polytechnique de Paris, France

*Thursday March 25, 2021*

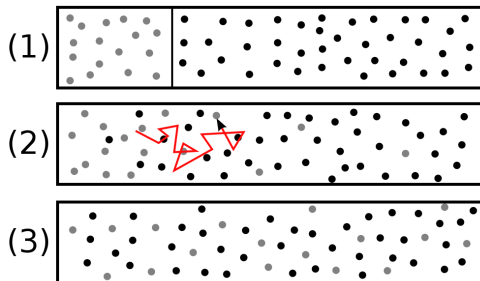
Rencontres Théoriciennes online seminar, Paris



- Based on [\[ARXIV:2011.12301\]](#) with Daniel Areán (Universidad Autónoma de Madrid), Richard A Davison (Heriot-Watt University, Edinburgh) and Kenta Suzuki (Ecole Polytechnique, Palaiseau, France).
- My research is supported by the European Research Council (ERC) under the European Union's Horizon 2020 research and innovation programme (grant agreement No 758759).
- All references and text in [magenta](#) contain hyperlinks.

- Universal description of interacting systems in the long wavelength  $x \gtrsim \ell_{\text{eq}}$ , late time regime  $t \gtrsim \tau_{\text{eq}}$  ('small gradients').
- Based on a truncation of the dynamics to the relaxation of a few conserved densities, following from the symmetries of the system. Eg in a fluid: conservation of translations, rotations, particle number and possibly boosts.
- Provides an effective description of many interesting systems that cannot be described perturbatively: liquid phase of water, electrons in ultra-pure Graphene, Quark-Gluon-Plasma, superfluids, etc.

# Classical diffusive hydrodynamics



CREDIT: [WIKIPEDIA]

- In this talk, I will focus on diffusive hydrodynamics: relaxation of the gradient of a conserved density  $\rho$ .
- Examples: particle number (chemical potential), energy (temperature gradient), shear momentum (transverse velocity), etc.

# Classical diffusive hydrodynamics

(see eg [Kovtun'12] for more details)

- Conservation equation (Fick's law)

$$\partial_t \rho + \nabla_i j^i = 0$$

- Constitutive relation

$$j^i = -\frac{D}{\chi} \nabla^i \rho + O(\nabla^2, \partial_t \nabla), \quad \chi = \frac{\partial \rho}{\partial \mu}$$

- Decompose linear perturbations in plane waves

$$\rho(t, x) = \rho_0 + \delta \rho e^{-i\omega t + ikx}$$

- Retarded Green's function

$$G_{\rho\rho}^R(\omega, k) = \frac{i\chi D k^2}{\omega + iDk^2}$$

- In this low frequency, low  $k$  approximation, single, diffusive pole

$$\omega = -iDk^2 + O(k^4) \quad \Rightarrow \quad G_{\rho\rho}(t, x) \sim \nabla^2 \frac{e^{-x^2/(4Dt)}}{t^{d/2}}$$

# Limits of applicability: causality

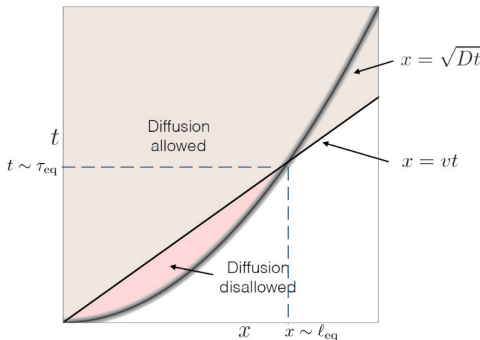
Causality: upper bound on the diffusivity [HARTMAN ET AL'17]

$$D \lesssim v^2 \tau_{\text{eq}}$$

- $v$ : 'effective lightcone velocity'.  
General argument from linear in  $t$  growth of operators.

- Emergent infrared Lorentz invariance: expect  $v = c_{\text{ir}}$ .

- More generally:
  - Lieb-Robinson velocity?
  - Butterfly velocity?
  - Fermi velocity?



ADAPTED FROM [HARTMAN ET AL'17]

- More precise definition of local equilibration scales  $\tau_{\text{eq}}$ ,  $\ell_{\text{eq}}$ ?

\* There are other limitations on the applicability of hydrodynamics (eg unstable frames, long time tails) but I won't discuss them in this talk.

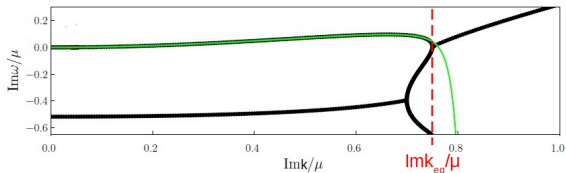
# Limits of applicability: convergence

- In principle, the (linear) hydro series can be pushed to any order in  $k$

$$\omega = -i \sum_{n=1}^{+\infty} \omega_{2n} k^{2n}$$

Does this series converge?

- Hard question to answer in general. Use holography to compute the series: in real space (constitutive relation) [HELLER&AL'13], [HELLER&AL'20] and in Fourier space (dispersion relation) [WITHERS'18].
- In Fourier space, [WITHERS'18] showed that the **radius of convergence**  $k_{\text{eq}}$  of the **series of the shear diffusive mode** of the RN-AdS<sub>4</sub> black brane matches a singularity in the complex  $k$  plane.



ADAPTED FROM [WITHERS'18]

# Limits of applicability: convergence

- Further recent confirmation that the convergence radius is set by the collision of the hydro mode with the nearest non-hydro mode by [JANSEN&PANTELIDOU'20].
- Further related studies and arguments for the above in [GROZDANOV&AL'19], [GROZDANOV&AL'19], [ABBASI&TAHERY'20].
- Are there cases where the convergence radius can be determined without referring to a specific microscopic theory?
- Yes, if hierarchy of scales: examples using holography.
  - 1 In the presence of a weakly-broken symmetry (irrelevant deformation in the IR);
  - 2 In the absence of any weakly-broken symmetry near  $\text{AdS}_2$  horizons.



# Neutral translation-breaking black brane: model

- Classical solution to the action, [BARDoux&AL'12], [ANDRADE&WITHERS'13]

$$S = \int d^4x \sqrt{-g} \left( R + 6 - \frac{1}{2} \sum_{i=1}^2 (\partial\varphi_i)^2 \right)$$

- Metric and matter fields (breaks translations homogeneously)

$$ds^2 = -r^2 f(r) dt^2 + r^2 dx_i^2 + \frac{dr^2}{r^2 f(r)}, \quad \varphi_i = mx^i,$$

$$f(r) = 1 - \frac{m^2}{2r^2} - \left(1 - \frac{m^2}{2r_0^2}\right) \frac{r_0^3}{r^3} \quad \Rightarrow \quad T = \frac{3r_0}{4\pi} \left(1 - \frac{m^2}{6r_0^2}\right).$$

# Slow relaxation in the presence of weak explicit breaking

- In this model, at high temperature  $T \gg m$ , translations are weakly broken at a rate  $\Gamma$ , [ANDRADE&WITHERS'13], [DAVISON'13]. This leads to the effective description at sufficiently large scales, [DAVISON&GOUTÉRAUX'14]:

$$\partial_t \pi^x + \partial_x (c_s^2 \delta \varepsilon) = -\Gamma \pi^x$$

- Together with energy conservation

$$\partial_t \delta \varepsilon + \partial_x \pi^x = 0$$

this leads to

$$\partial_t^2 \pi^x + \Gamma \partial_t \pi^x - c_s^2 \partial_x^2 \pi^x = 0$$

- The rate at which momentum relaxes can be computed  $\Gamma \sim m^2/T \ll T$  when  $T \gg m$ , and so momentum relaxes slowly compared to typical excitations of the system at  $\Lambda \sim T$ .

# Slow relaxation in the presence of weak explicit breaking

- Momentum couples to energy fluctuations: motion of poles governed by the equation

$$\omega^2 + i\Gamma\omega - c_s^2 k^2 + O(\lambda^3) = 0, \quad \Gamma \ll \Lambda_{uv}$$

in the scaling limit  $\omega \sim k \sim \Gamma \sim \lambda$ .

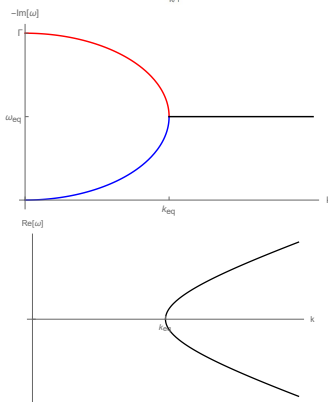
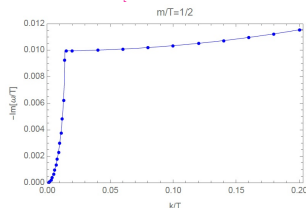
- Crossover between **diffusion of energy** + **weak relaxation of momentum** when  $k \lesssim k_{\text{eq}} \sim \Gamma$

$$\omega = -i\frac{c_s^2}{\Gamma}k^2 + \dots, \quad \omega = -i\Gamma + i\frac{c_s^2}{\Gamma}k^2 + \dots$$

and propagating modes when  $k \gtrsim k_{\text{eq}} \sim \Gamma$

$$\omega = \pm c_s k + \dots$$

Data from [DAVISON&GOUTÉRAUX'14]



# Slow relaxation in the presence of weak explicit breaking

- We can be more precise about  $k_{\text{eq}}$ . The solutions to

$$\omega^2 + i\Gamma\omega - c_s^2 k^2 = 0,$$

are

$$\omega_{\pm} = -i\frac{\Gamma}{2} \pm \sqrt{k^2 c_s^2 - \frac{\Gamma^2}{4}}$$

- The first non-analyticity is then at  $(\omega_{\text{coll}}, k_{\text{coll}}) \simeq (-i\Gamma/2, \Gamma/(2c_s))$   
 $\Rightarrow (\omega_{\text{eq}}, k_{\text{eq}}) \simeq (\Gamma/2, \Gamma/(2c_s))$ .
- Differently from [WITHERS'18], the dispersion relation is very well approximated by truncating to the first non-trivial terms in  $\Gamma$  and  $k$   
 $\Rightarrow$  analytical determination of the convergence radius: consequence of the hierarchy of scales  $\Gamma \ll \Lambda_{\text{UV}}$ .
- Different than the usual hydro expansion in  $k$ , which would diverge as  $k \rightarrow k_{\text{eq}}^-$

# Slow relaxation in the presence of weak explicit breaking

- Even though energy diffusion  $\omega = -i(c_s^2/\Gamma)k^2 + \dots$  can be augmented to incorporate slowly-relaxing momentum  $\omega = -i\Gamma + \dots$  when  $\Gamma \ll \Lambda_{uv}$ , it formally breaks down at  $(\omega_{\text{eq}}, k_{\text{eq}})$ .
- The diffusivity is  $D_\varepsilon \simeq c_s^2/\Gamma$  and is naturally expressed in terms of a velocity and a timescale, which are directly related to the motion of poles in the complex frequency plane.
- The diffusivity can also be written in terms of the local equilibration scales:

$$D_\varepsilon \simeq \frac{c_s^2}{\Gamma} \simeq \frac{1}{2} \frac{\omega_{\text{eq}}}{k_{\text{eq}}^2}$$

- Valid in the regime when  $\Gamma \ll \Lambda_{uv}$ .

$$D_\epsilon \simeq \frac{1}{2} \frac{\omega_{\text{eq}}}{k_{\text{eq}}^2} = \frac{1}{2} v_{\text{eq}}^2 \tau_{\text{eq}}, \quad \tau_{\text{eq}} = \frac{1}{\omega_{\text{eq}}}, \quad v_{\text{eq}} = \frac{\omega_{\text{eq}}}{k_{\text{eq}}}$$

- Thanks to the hierarchy of scales  $\Gamma \ll \Lambda_{uv}$ , we could obtain a simple relation between a diffusivity, the local equilibration timescales and a characteristic velocity.
- What about more generally, when no symmetry is weakly broken, but still in the presence of a hierarchy of scales? Here, low temperature.
- Relation between diffusivity and ‘chaos parameters’  $D_\epsilon \simeq v_B^2/\lambda_L$  [BLAKE’16], valid for low temperatures?
- We will investigate this question using solvable models of transport: holography, SYK, focusing on the diffusion of energy and transverse momentum.

# Neutral translation-breaking black brane: low $T$ spectrum

- At low temperatures  $m \gg T$ , translations are strongly broken and the only hydrodynamic mode is that of diffusion of energy:

$$\omega = -iD_\varepsilon k^2 + O(k^4), \quad D_\varepsilon(T \rightarrow 0) = \frac{\sqrt{3}}{\sqrt{2}} \frac{1}{m}$$

- The horizon geometry becomes  $\text{AdS}_2 \times \mathbb{R}^2$  at  $T = 0$  ( $r_e = \sqrt{6}m$ ).
- At small temperatures  $T \ll m$ , the emergent  $\text{SL}(2, \mathbf{R}) \times \text{SL}(2, \mathbf{R})$  symmetry fixes the form of the IR retarded Green's function

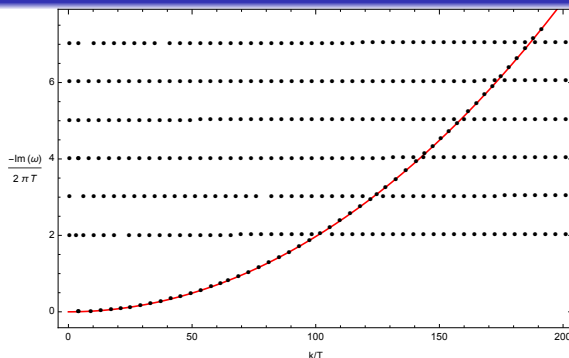
$$\mathcal{G}_{IR} \propto T^{2\Delta(k)-1} \frac{\Gamma\left(\frac{1}{2} - \Delta(k)\right) \Gamma\left(\Delta(k) - \frac{i\omega}{2\pi T}\right)}{\Gamma\left(\frac{1}{2} + \Delta(k)\right) \Gamma\left(1 - \Delta(k) - \frac{i\omega}{2\pi T}\right)},$$

$$\Delta(k) = \frac{1}{2} + \sqrt{\frac{9}{4} + 2\frac{k^2}{m^2}},$$

and generates an infinite tower of gapped, IR modes

$$\omega_n = -i2\pi T(n + \Delta(0)) + O(k^2), \quad n = 0, 1, 2, \dots$$

# Neutral translation-breaking black brane: low $T$ spectrum



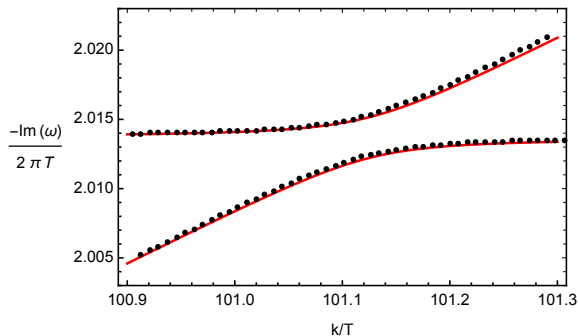
- **Red line:** analytical approximation to the location of the hydro pole in the scaling limit  $\omega \sim T \sim k^2 \sim \lambda$ , for  $T/m = 1/1000$ :

$$\omega(k) = -i\lambda\sqrt{\frac{3}{2}}\frac{k^2}{m}\left(1 + \lambda\frac{k^2}{m^2} + \lambda^2\left(\frac{4\pi T^2}{3m^2} + \frac{k^4}{m^4}\right) + O(\lambda^3)\right).$$

- Crosses IR poles at  $\omega \simeq \omega_n = -i2\pi T(n+2)$ ,  $k^2 \simeq k_n^2 = i\omega_n/D_\epsilon$
- $T \ll m \Rightarrow$  agreement way beyond  $k \ll T$  (usual hydro expansion).



# Neutral translation-breaking black brane: avoided crossings

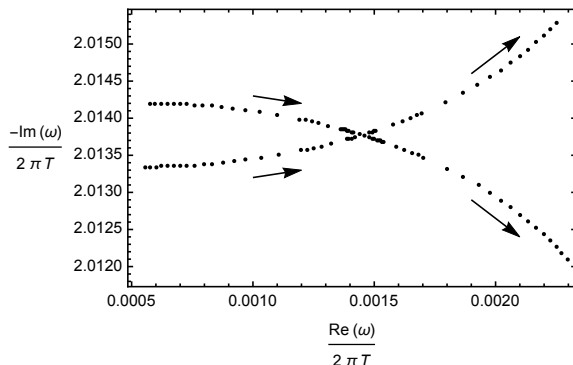


Avoided crossings (with vanishingly small gaps as  $T \rightarrow 0$  rather than pole collisions) as a function of real  $k$ . The **red line** is the analytical approximation around  $\omega = \omega_n + \delta\omega$ ,  $k^2 = k_n^2 + \delta(k^2)$

$$(\mathcal{D}_n \delta(k^2) - i \delta\omega) (1 - i \tau_n \delta\omega) - i \gamma_n \delta\omega = 0,$$

$$\mathcal{D}_n \rightarrow D_\epsilon, \quad \tau_n \rightarrow \frac{9m}{16\sqrt{6}(2+n)\pi^2 T^2}, \quad \gamma_n \rightarrow \sqrt{\frac{3}{2}}(n(n+4)+3)\frac{\pi T}{m},$$

# Neutral translation-breaking black brane: complex collision

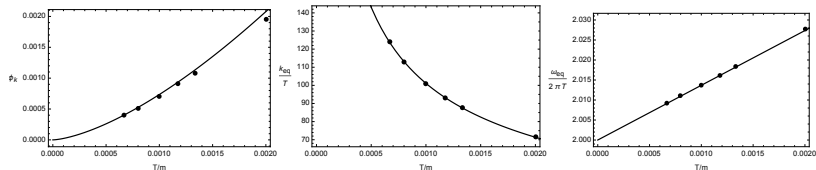


The collision occurs for complex values of  $k$  (see also [WITHERS'18], [GROZDANOV&AL'19], [GROZDANOV&AL'19], [ABBASI&TAHERY'20], [JANSEN&PANTELIDOU'20])

$$\phi_k \rightarrow \frac{2^4}{6^{3/4}} \left( \frac{\pi T}{m} \right)^{3/2}, \quad k_{eq}^2 \equiv |k|^2 \rightarrow \frac{\omega_{eq}}{D_\epsilon} \left( 1 - \frac{4\sqrt{6}\pi T}{3m} + \dots \right),$$

$$\omega_{eq} \equiv |\omega| \rightarrow 4\pi T \left( 1 + \frac{8\sqrt{6}\pi T}{9m} + \dots \right).$$

# Neutral translation-breaking black brane: complex collision

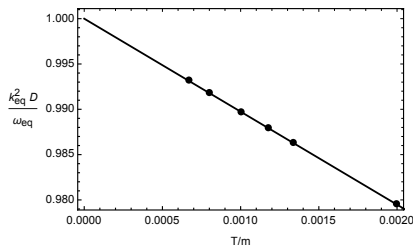
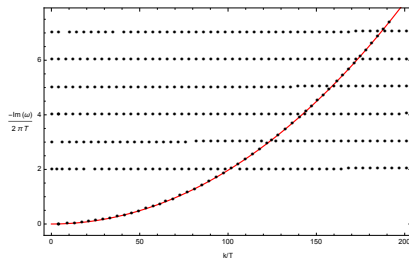


The analytical approximations work very well

$$\phi_k \rightarrow \frac{2^4}{6^{3/4}} \left( \frac{\pi T}{m} \right)^{3/2}, \quad k_{eq}^2 \equiv |k|^2 \rightarrow \frac{\omega_{eq}}{D_\epsilon} \left( 1 - \frac{4\sqrt{6}\pi T}{3m} + \dots \right),$$

$$\omega_{eq} \equiv |\omega| \rightarrow 4\pi T \left( 1 + \frac{8\sqrt{6}\pi T}{9m} + \dots \right).$$

# Neutral translation-breaking black brane: diffusivity



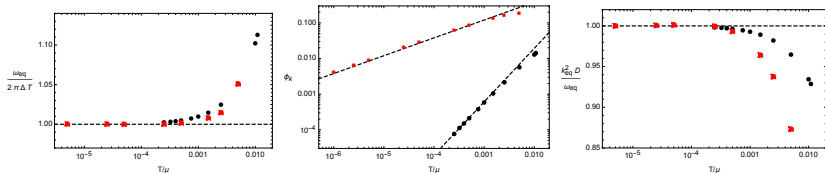
$$\omega(k) = -i\epsilon\sqrt{\frac{3}{2}}\frac{k^2}{m}\left(1 + \epsilon\frac{k^2}{m^2} + \epsilon^2\left(\frac{4\pi T^2}{3m^2} + \frac{k^4}{m^4}\right) + \dots\right).$$

Agreement between the approximation and the numerics implies

$$D_\epsilon(T \rightarrow 0) = \frac{\omega_{\text{eq}}}{k_{\text{eq}}^2}$$

Relates hydrodynamic data at  $(\omega, k \ll T)$  to data that mark the edge of validity of hydrodynamics  $(|\omega| \simeq \omega_{\text{eq}} \sim T, |k| \simeq k_{\text{eq}} \sim \sqrt{Tm} \gg T)$

# Reissner-Nordström black brane



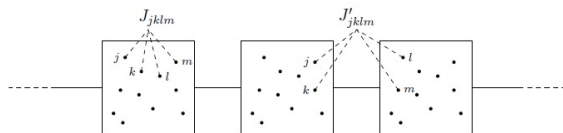
- We find exactly similar results for the Reissner-Nordström black brane, both for energy diffusion and **shear momentum diffusion**: not specific to energy diffusion. Instead, hierarchy of scales  $T \ll \mu$ .
- The irrelevant deformation is different for the two diffusive modes

$$\Delta_\varepsilon(k=0) = 2, \quad \Delta_\Pi(k=0) = 1.$$

- Temperature dependence of the collision phase consistent with

$$\phi_k \sim T^{\Delta-1/2}$$

# Sachdev-Ye-Kitaev chain



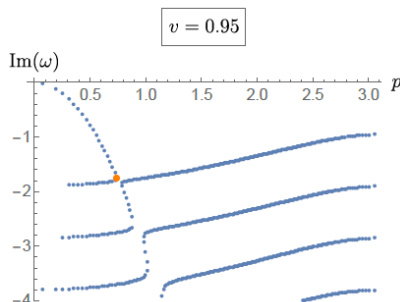
CREDIT: [GU&AL'16]

- The Sachdev-Ye-Kitaev model ([SACHDEV&YE'93], [KITAEV'15]) and its higher-dimensional generalizations [GU&AL'16] are another set of solvable models of strongly-coupled matter.

$$H = i^{q/2} \sum_{x=0}^{M-1} \left( \sum_{1 \leq i_1 < \dots < i_q \leq N} J_{i_1 \dots i_q, x} \chi_{i_1, x} \dots \chi_{i_q, x} + \sum_{\substack{1 \leq i_1 < \dots < i_{q/2} \leq N \\ 1 \leq j_1 < \dots < j_{q/2} \leq N}} J'_{i_1 \dots i_{q/2} j_1 \dots j_{q/2}, x} \chi_{i_1, x} \dots \chi_{i_{q/2}, x} \chi_{j_1, x+1} \dots \chi_{j_{q/2}, x+1} \right).$$

- In the limit of infinite coupling  $J, J' \rightarrow +\infty$ , emergent reparameterization invariance suggests duality to near-AdS<sub>2</sub> gravity.

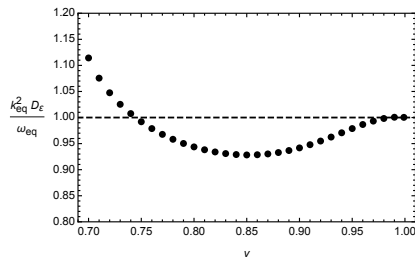
# Exact large $q$ solution



CREDIT: [CHOI&AL'20]

- By allowing  $q \rightarrow +\infty$ , the model can be solved analytically for all coupling strengths [CHOI&AL'20].
- The pole spectrum at strong coupling  $v \rightarrow 1$  is very close to the holographic results. One difference is that collisions occur for real  $p$  at strong enough coupling  $v \gtrsim 0.65$ .

# Diffusivity relation



- We have reproduced their results and extracted the local equilibration scales.
- In the limit of strong coupling  $\nu \rightarrow 1$ , the  $q = +\infty$  SYK chain also verifies

$$D_\epsilon = \frac{\omega_{\text{eq}}}{k_{\text{eq}}^2}$$



# Diffusivity bound

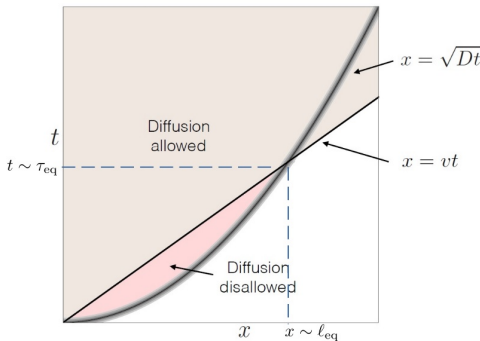
- Our results are compatible with the upper bound formulated by

[HARTMAN ET AL'17]

$$D \lesssim v_{\text{eq}}^2 \tau_{\text{eq}}$$

with  $v_{\text{eq}} \equiv \omega_{\text{eq}}/k_{\text{eq}}$ .

- In particular, they are compatible with the emergence of an 'effective lightcone velocity'  $v_{\text{eq}}$  even for non-relativistic systems.



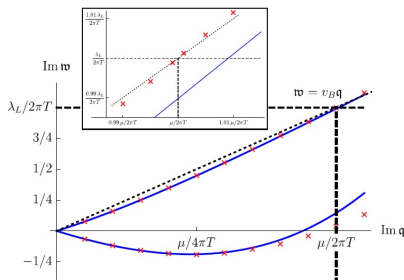
ADAPTED FROM [HARTMAN ET AL'17]

- Our results do not provide an absolute determination of  $v_{\text{eq}}$ : even in cases where  $D$  cannot simply be determined in terms of the parameters characterizing the breakdown of hydro, we still expect the bound to hold.

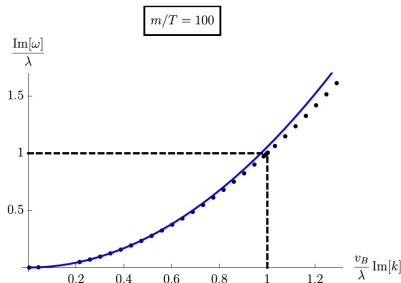
# Relation to chaos exponents

- In the examples of energy diffusion we studied, the diffusive approximation to the location of the pole is very good including at the energy pole skipping point [GROZDANOV&AL'17], [BLAKE&AL'18], [BLAKE&AL'18].
- This is the origin of the chaos relation

$$D_\epsilon = v_B^2 / \lambda_L$$

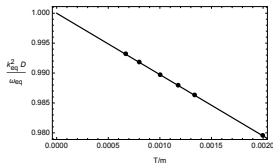


CREDIT: [GROZDANOV&AL'17]

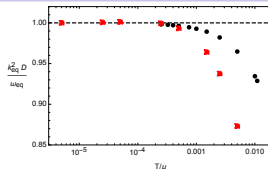


CREDIT: [BLAKE&AL'18]

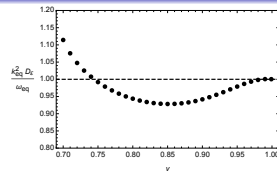
# Summary and outlook



Translation-breaking  
black brane



RN-AdS black brane



SYK chain

- In states with a near-AdS<sub>2</sub> infrared fixed point, the excellent applicability of diffusive hydrodynamics across avoided crossings with an infinite tower of gapped infrared poles results in the relation

$$D = \frac{\omega_{eq}}{k_{eq}^2}$$

where  $\omega_{eq}$  and  $k_{eq}$  are determined by infrared data, fixed by the symmetries of the state.

- As for the slow momentum-relaxing case, consequence of a hierarchy of scales.

- Extension to other near-AdS<sub>2</sub>/SYK states with non-universal leading irrelevant deformation [BLAKE&DONOS'16], [MILEKHIN'21]?
- Addition of charge to the neutral, translation-breaking black brane: extra diffusive mode, governs the resistivity, of direct interest for strange metallic transport [HARTNOLL'14].
- Other, non-AdS<sub>2</sub> fixed points (Lifshitz, hyperscaling violation)?
- Other hierarchy of scales (eg angular momentum, magnetic field)?