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Heydeman, GJT, Zhao To appear

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# Phases of $\mathcal{N} = 2$ SYK Models

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#### Motivations

- black holes Sachdev Ye, Kitaev H =Gu Kitaev Sachdev Tarnopolsky 19
- non-zero background charge (electric field in bulk)
- though the conformal solution has no pathology.

• Complex SYK is an interesting quantum mechanical model displaying features similar to

• It develops an emergent conformal symmetry at low temperatures. Can be studied at

• Displays maximal chaos, its compressible, and has a large zero temperature entropy

• Phase transitions to low-entropy gapped phases were found at non-zero charge, even

Azeyanagi, Ferrari, Schaposnik-Massolo 18

#### Motivations

Fu Gaiotto Maldacena Sachdev 16

$$J_{ijkl}$$
 ~

• This has drastic consequences in the behavior of the model, developing  $\mathcal{N}=2$ supersymmetry with  $\hat{Q} \sim C_{iik} \psi^{i} \psi^{j} \psi^{j}$ 

Study high- to low-entropy (or conformal to gapped) transition in this model

• A deceptively simple modification of complex SYK is to take the random couplings to be

$$\sum_{a} \bar{C}_{aij} C_{kla} \qquad \langle C_{ijk}^2 \rangle \sim J/N^2$$

#### • This model develops a Superconformal symmetry in the IR $SU(1,1|1) \supset SL(2,\mathbb{R}) \times U(1)$

• As a separate motivation, the Fu et al model has fractional R-charge fermions. All these models have vanishing index, but large ground state degeneracy

• We will construct models with multiple fermions allowing us to realize theories integer Rcharge

• The new models are described in the IR by the same Super-Schwarzian modes appearing in the description of near-BPS black holes in  $AdS_5$ See M. Heydeman talk



# $\mathcal{N} = 2$ SYK Model

- combinations vanish  $\{\psi^i, \psi^j\} = 0$
- When  $\mathcal{N} = 2$  supersymmetry is preserved, the Hamiltonian can be written as  $H = \{Q, \overline{Q}\}$ . We choose the supercharge to be, for q = 3, 5, ...

Q

• The theory has a  $U(1)_R$  symmetry, generated by

For N odd charge is half-integer

• System of N complex fermions  $\psi^i$ , with i = 1, ..., N, satisfying  $\{\psi^i, \bar{\psi}^j\} = \delta^{ij}$  while other

$$= i^{\frac{q-1}{2}} \sum_{i_1...i_q} C_{i_1...i_q} \psi^{i_1}... \psi^{i_q}$$

Random coupling:

$$\langle C_{i_1 i_2 \dots i_q} \bar{C}^{i_1 i_2 \dots i_q} \rangle \sim J$$

$$Q = \sum_{j} \bar{\psi}^{j} \psi^{j} - \frac{N}{2}, \quad Q_{R} = \frac{1}{q}Q$$



- The fermion number of the theory is  $(-1)^{F}$
- the free fermion limit. Is supersymmetry broken?
- $\mathscr{I}(r) = \operatorname{Tr}\left[(-1)^{F} e^{2\pi i r Q_{R}} e^{-\beta H}\right] \text{ for } r \in \mathbb{Z}_{q}. \text{ This is protected since } \left[e^{2\pi i r Q_{R}}, \mathcal{Q}\right] = 0$

Total number of ground states  $\mathscr{I}(r) = \left(2\sin\frac{\pi r}{a}\right)^{N}$  $\max \mathcal{J} = e^{N \log(2 \cos \frac{\pi}{2q})}$ Maximized for  $r = (q \pm 1)/2$  and gives:

#### The Index of the model

$$= e^{i\pi Q_R}$$

• The Index of  $\mathcal{N} = 2$  SYK vanishes Tr  $(-1)^F e^{-\beta H} = 0$ . This can be easily computed in

• No, there is a large number of BPS ground states. To see this, compute the refined index



- Focus on q = 3. To derive the mean field theory to solve at strong coupling, it is necessary to introduce an auxiliary boson b
- Package the fundamental fields in a chiral super field:

• The action is (implicit summation)

$$\mathscr{L} = \int d^2\theta \ \overline{\Psi}^i \Psi^i + i \int d\theta \ C_{ijk} \Psi^i \Psi^i = \bar{\psi}^i \partial_\tau \psi^i - \bar{b}^i b^i + C_{ijk} b^i \psi^j \psi^k$$

$$\varphi_i \sim \{\bar{Q}, \psi_i\} \sim \bar{\psi}^2$$

• Introduce superspace coordinates  $Z = (\tau, \theta, \overline{\theta})$  and covariant derivative  $D = \partial_{\theta} + \overline{\theta} \partial_{\tau}$ .

$$\overline{D}\Psi^{i} = 0, \quad \Rightarrow \quad \Psi^{i} = \psi^{i}(\tau + \theta\overline{\theta}) + \theta b^{i}(\tau)$$

$$\uparrow \qquad \uparrow$$
Fundamental Fermion Auxiliary Boson

 $\Psi^{j}\Psi^{k} + h.c.$ 

+h.c.

 $\Sigma(Z_1, Z_2)$ . In components:

• Procedure: Introduce  $\mathscr{G}(Z_1, Z_2)$  using  $\Sigma(Z_1, Z_2)$  as a Lagrange multiplier. Integrate out disorder, integrate out  $\Psi(Z)$ . Ends up with mean field action

> Schwinger-Dyson Equations

 $D_3 \mathscr{G}(Z_1, Z_3) + dZ_2 \mathscr{G}(Z_1, Z_2) \Sigma(Z_3, Z_2) = \delta(Z_1 - Z_3)$ 

• Introduce bi-local 2pt function  $\mathscr{G}(Z_1, Z_2) = \frac{1}{N} \langle \bar{\Psi}^i(Z_1) \Psi^i(Z_2) \rangle$ . And similarly self-energy

$$\begin{aligned} \mathscr{G}(Z_1, Z_2) &= G_{\psi\psi}(\tau_1 - \theta_1 \bar{\theta}_1, \tau_2 + \theta_2 \bar{\theta}_2) + \bar{\theta}_1 \theta_2 G_{bb}(\tau_1, \tau_2) \\ &+ \bar{\theta}_1 G_{b\psi}(\tau_1, \tau_2 + \theta_2 \bar{\theta}_2) - \theta_2 G_{\psi b}(\tau_1 - \theta_1 \bar{\theta}_1, \tau_2) \end{aligned}$$

$$\Sigma(Z_2, Z_3) = J\mathscr{G}(Z_2, Z_3)^{q-1}$$

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> Schwinger-Dyson Equations

**IR** Approx

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$$\Sigma(Z_2, Z_3) = J\mathscr{G}(Z_2, Z_3)^{q-1}$$

 $D_3 \mathscr{G}(Z_1, Z_3) + \left| dZ_2 \mathscr{G}(Z_1, Z_2) \Sigma(Z_3, Z_2) = \delta(Z_1 - Z_3) \right|$ 

## **Schwinger-Dyson Equations**

• Consider a solution with  $G_{\psi b} = G_{b\psi} = 0$ , and with time translation. We need to solve

$$\begin{split} \Sigma_{\psi\psi}(\tau) &= J(q-1)G_{\psi\psi}(\tau)^{q-2}G_{bb}(\tau), \qquad \Sigma_{bb}(\tau) = JG_{\psi\psi}(\tau)^{q-1} \\ G_{\psi\psi}(\omega) &= \frac{1}{-i\omega + \mu + \Sigma_{\psi\psi}(-\omega)}, \qquad G_{bb}(\omega) = \frac{1}{-1 - \Sigma_{bb}(-\omega)} \\ \text{e equations in the IR } |J\tau| \gg 1 \text{ with a conformal ansatz} \\ \tau) &= g_{\psi\psi}\frac{-e^{\pi\mathscr{E}}\Theta_{-\tau} + e^{-\pi\mathscr{E}}\Theta_{\tau}}{|\tau|^{2\Delta}}, \qquad G_{bb}(\tau) = g_{bb}\frac{e^{\pi\mathscr{E}}b\Theta_{-\tau} + e^{-\pi\mathscr{E}}b\Theta_{\tau}}{|\tau|^{2\Delta_b}} \end{split}$$

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So equations in the IR  $|J\tau| \gg 1$  with a conformal ansatz
$$(\tau) &= g_{\psi\psi} \frac{-e^{\pi\mathscr{C}}\Theta_{-\tau} + e^{-\pi\mathscr{C}}\Theta_{\tau}}{|\tau|^{2\Delta}}, \qquad G_{bb}(\tau) = g_{bb} \frac{e^{\pi\mathscr{C}_{b}}\Theta_{-\tau} + e^{-\pi\mathscr{C}_{b}}\Theta_{\tau}}{|\tau|^{2\Delta_{b}}} \end{split}$$

• We will solve

$$\begin{split} \Sigma_{\psi\psi}(\tau) &= J(q-1)G_{\psi\psi}(\tau)^{q-2}G_{bb}(\tau), \qquad \Sigma_{bb}(\tau) = JG_{\psi\psi}(\tau)^{q-1} \\ G_{\psi\psi}(\omega) &= \frac{1}{-i\omega + \mu + \Sigma_{\psi\psi}(-\omega)}, \qquad G_{bb}(\omega) = \frac{1}{-1 - \Sigma_{bb}(-\omega)} \end{split}$$
these equations in the IR  $|J\tau| \gg 1$  with a conformal ansatz
$$G_{\psi\psi}(\tau) &= g_{\psi\psi} \frac{-e^{\pi \mathcal{E}}\Theta_{-\tau} + e^{-\pi \mathcal{E}}\Theta_{\tau}}{|\tau|^{2\Delta}}, \qquad G_{bb}(\tau) = g_{bb} \frac{e^{\pi \mathcal{E}_b}\Theta_{-\tau} + e^{-\pi \mathcal{E}_b}\Theta_{\tau}}{|\tau|^{2\Delta_b}} \end{split}$$

Turning on  $\mathscr{E}$  corresponds to turning on background U(1) charge

## **Conformal Solution**

• We begin with  $G\Sigma \sim 1$  which gives

• Inserting this in the other equations gives:

• The scaling dimension is NOT determined by dimensional analysis (usual case with Yukawa interactions). In  $\mathcal{N} = 2$  SYK this is determined by looking at prefactors

$$\Sigma_{\psi\psi} = \frac{1}{g_{\psi\psi}} \frac{(1-2\Delta)\sin 2\pi\Delta}{\cosh 2\pi\mathscr{E} + \cos 2\pi\Delta} \frac{-e^{\pi\mathscr{E}}\Theta_{-\tau} + e^{-\pi\mathscr{E}}\Theta_{\tau}}{|\tau|^{2(1-\Delta)}}$$
$$\Sigma_{bb} = \frac{1}{g_{bb}} \frac{(1-2\Delta_b)\sin 2\pi\Delta_b}{\cosh 2\pi\mathscr{E}_b - \cos 2\pi\Delta_b} \frac{-e^{\pi\mathscr{E}_b}\Theta_{-\tau} - e^{-\pi\mathscr{E}_b}\Theta_{\tau}}{|\tau|^{2(1-\Delta_b)}}$$

$$\mathscr{E}_b = -(q-1)\mathscr{E}$$
$$(q-1)\Delta + \Delta_b = 1$$



$$\frac{(1-2\Delta)\sin 2\pi\Delta}{\cosh 2\pi\mathscr{E} + \cos 2\pi\Delta} = (q-1)Jg_{\psi\psi}^{q-1}g_{bb} \qquad \frac{(1-2\Delta_b)\sin 2\pi\Delta_b}{\cosh 2\pi\mathscr{E}_b - \cos 2\pi\Delta_b} = Jg_{\psi\psi}^{q-1}g_{bb}$$

asymmetry

$$\frac{(1-2\Delta)\sin 2\pi\Delta}{\cosh 2\pi\mathscr{E} + \cos 2\pi\Delta} = (q-1)\frac{(1-2(q-1)\Delta)\sin 2\pi(q-1)\Delta}{\cosh 2\pi(q-1)\mathscr{E} - \cos 2\pi(q-1)\Delta} \quad \Rightarrow \quad \Delta(\mathscr{E})$$

#### Scaling Dimensions

• Matching the prefactors in the Schwinger-Dyson equations gives the redundant equations

• This gives a self-consistency equation for the scaling dimension as a function of spectral

• The conformal solution is NOT fully determined, only  $g_{\psi\psi}^{q-1}g_{bb}$ , but not e.g.  $g_{\psi\psi}/g_{bb}$ 



• Supersymmetric solutions are found when:



- r = 0
- This solution has an emergent  $SU(1,1 \mid 1) \supset SL(2,\mathbb{R}) \times U(1)_R$  symmetry

$$\begin{aligned} \tau &\to \tau' = f(\tau) + \dots \\ \theta &\to \theta' = e^{ia(\tau)} \sqrt{f'(\tau)} \theta + \eta(\tau) + \dots \end{aligned}$$

#### **Superconformal Solution**

$$\frac{ir}{q}, \quad r \in \mathbb{Z}_q, \quad \Rightarrow \quad \Delta = \frac{1}{2q}, \quad \Delta_b = \frac{1}{2q} + \frac{1}{2}$$

• Using that  $G_{bb} = -\partial_{\tau}G_{\psi\psi}$  we can find the full solution using  $g_{bb} = 2\Delta g_{\psi\psi}$ . We focus on

$$\mathcal{G}(Z_1,Z_2) \rightarrow (D_{\theta_1}\theta_1')^{1/q} \ (D_{\bar{\theta}_2}\bar{\theta}_2')^{1/q} \ \mathcal{G}(Z_1',Z_2')$$

### **Conformal Solution at non-Zero Charge**

• q = 3 behavior of scaling dimension:

 $\mathscr{E}_{\text{critical}} = 0.28055$ 





## **Conformal Solution at non-Zero Charge**

• We checked the UV boundary conditions fix the undetermined coefficients:



**Figure 3:** Plot of  $g_{bb}/g_{\psi\psi}$  computed from the numerical solution for q = 3 at different values of  $\mathcal{E}$ . Note the infrared Schwinger-Dyson equations can only determine the combination  $g_{yyy}^{q-1}g_{bb}$ , but the individual values are determined by the full solution. At  $\mathcal{E} = 0$ , it agrees with the supersymmetric answer  $g_{bb} = 2\Delta g_{\psi\psi} = \frac{1}{3}g_{\psi\psi}$ .

• This scaling symmetry does not generate a genuine IR mode

# Luttinger-Ward Relation

- The Luttinger-Ward relation gives the charge expectation value (a UV quantity) in terms of the conformal spectral asymmetry (an IR quantity). Georges Parcollet Sachdev 00
- We derived and verified numerically:



Fermion Contribution (same as cSYK)

$$Q/N = \frac{\left(\frac{1}{2} - \Delta\right)\sinh 2\pi\mathscr{C}}{\cosh 2\pi\mathscr{C} + \cos 2\pi\Delta} + \frac{i\log\frac{\cos\pi(\Delta + i\mathscr{C})}{\cos\pi\Delta - i\mathscr{C}}}{2\pi}$$
$$+ (q-1)\frac{\left(\frac{1}{2} - \Delta_b\right)\sinh 2\pi\mathscr{C}_b}{\cosh 2\pi\mathscr{C}_b - \cos 2\pi\Delta_b} + \frac{i\log\frac{\sin\pi(\Delta_b + i\mathscr{C}_b)}{\sin\pi\Delta_b - i\mathscr{C}_b}}{2\pi}$$

Aux Boson Contribution

Important:  $\mathscr{C}_{critical}$  corresponds to less than maximal charge  $Q_{\text{critical}} = 0.414N \Rightarrow$  Phase Transition



#### $\mathcal{N} = 2$ Schwarzian Theory

 At low temperatures the Schwarzian domi and U(1) modes with action



• At low temperatures the Schwarzian dominates. The Bosonic sector has reparametrization

$$I_{\mathcal{N}=2 \text{ Sch}} = \frac{\alpha_S N}{J} \int d\tau \left( \{f, \tau\} + 2q^2 (\partial_\tau a)^2 \right) + \text{fermions}$$

• The free energy is given by

$$-\beta F = S_0 + \frac{c}{2\beta} + \dots, \quad c = \frac{4\pi^2 \alpha_S N}{J} \approx 0.332 \frac{N}{J}$$

• SUSY ties the Schwarzian coupling to compressibility

$$\frac{\partial S}{\partial T}\Big|_{T=0} = \frac{\pi^2}{q^2} \Big(\frac{\partial Q}{\partial \mu}\Big)_{T=0} \qquad \Rightarrow \qquad K = \Big(\frac{\partial Q}{\partial \mu}\Big)_{T=0} \approx 0.303N/J$$

#### $\mathcal{N} = 2$ Schwarzian Theory

• The quantum BPS spectrum of the Schwarzian is

• For q = 3 we have  $s_0 = \log \sqrt{3}$ . Then the Schwarzian exactly matches the SYK answer computed in [Fu et al '16]

$$D(N,0) = 2 \, 3^{N/2-1} , \qquad D(N, \pm \frac{1}{3}) = 3^{N/2-1} , \qquad \text{for } N \text{ even}$$
  
$$D(N, \pm \frac{1}{6}) = 3^{(N-1)/2} , \qquad \text{for } N = 3 \mod 4$$
  
$$D(N, \pm \frac{1}{6}) = 3^{(N-1)/2} , \qquad D(N, \pm \frac{3}{6}) = 1 \text{ or } 3 , \qquad \text{for } N = 1 \mod 4$$

• Can be a good setup to understand better microstates from gravity [Lin Maldacena] Rosenberg Shan, WIP]



• We checked numerically the fate of the instability we found. The answer is

Solution develops a Gap



Figure 6: Left: Numerical solutions of  $G_{\psi\psi}$  in the region where  $\mathcal{E} > \mathcal{E}_{\text{critical}}$ . We observe exponential decay solutions. Since the solution ceases to be conformal, the infrared parameter  $\mathcal{E}$  is no longer meaningful. The solutions depend on both  $\beta J$  and  $\mu/J$ . Right: log plot of  $G_{\psi\psi}$  at various values of  $\beta J$ , where dashed lines are linear fits. We observe that the exponent is linear in  $\mu$ .

Solution for  $Q > Q_{critical}$ 

#### High- to Low-Entropy Transition



**Figure 7:** The entropy computed numerically as a function of the chemical potential  $\frac{\mu}{I}$ . For each value of  $\mu$ , we compute the free energy and entropy on a numerical grid of size  $2^{25}$  at small temperatures and extrapolate the zero temperature entropy. Note at  $\mu = 0$ , we obtain  $S_0(0) \approx$ 0.5484 which is close to the value predicted by the index  $\log(2\cos\frac{\pi}{6}) \approx 0.5493$ . The transition happens at around  $\mu = \mu_c = 0.5J$ .



### Some features of bilinear spectrum



- $\mathscr{E} = 0$ : We find two sets of  $h = (1) + 2 \times (3/2) + (2)$ . One is the  $\mathscr{N} = 2$  Super-Schwarzian, the other is spurious
- unstable even for fundamental fermion

•  $\mathscr{E} \neq 0$  : Fermionic modes develop a mass, suggests one ends up with Schwarizan + U(1)

•  $\mathscr{E} > \mathscr{E}_{critical}$ : Complex solutions appear and a continuum of real ones. We already saw it is

# Holographic Interpretation

- Picture 1:  $\mathcal{N} = 2$  Jackiw-Teitelboim gravity coupled to fermion multiplets. At large enough electric field the fermion becomes unstable.
- Picture 2: Gravity is emergent from N = 2concrete formula for grand potential cSYK: Gu Kitaev Sachdev Tarnopolsky 19

$$G(\mathscr{E}) = \int_{\Delta}^{1/2} \frac{dx\pi(1-2x)\sin 2\pi x}{\cosh 2\pi \mathscr{E} + \cos 2\pi x} + \int_{1/2}^{1-(q-1)\Delta} \frac{dx\pi(2x-1)\sin 2\pi x}{\cosh 2\pi (q-1)\mathscr{E} - \cos 2}$$

 $\Delta(\mathscr{C})$  extremizes  $G(\Delta,\mathscr{C})$ 

$$\Delta = \frac{1}{2} - \sqrt{M^2 - \mathscr{E}^2}$$

• Picture 2: Gravity is emergent from N = 2 "spooky" fermions + "spooky" bosons. Gives



## New class of $\mathcal{N} = 2$ SYK Models

- System of 2N complex fermions  $\psi^i$  and  $\chi^i$ . The Hamiltonian is given in terms of the supercharge by
  - $Q = i \sum_{ijk} C_{ijk} \psi^{i} \psi^{j} \chi^{k}$

• Two U(1) symmetries

• A special role is played by a flavor symmetry that commutes with the supercharge

 $Q_F = Q$ 

• Emergent SU(1,1|1) in the IR with Superconformal R-symmetry

$$Q_{\psi} = \sum_{j} \bar{\psi}^{j} \psi^{j} - \frac{N}{2}, \quad Q_{\chi} = \sum_{j} \bar{\chi}^{j} \chi^{j} - \frac{N}{2}$$

$$Q_{\psi} - 2Q_{\chi}, \qquad [Q, Q_F] = 0$$

$$Q_R = Q_{\chi} + \alpha \ Q_F$$

### The Index of the model

• The 'fermion' number of the theory is  $(-1)^F = e^{i\pi Q_{\chi}}$ . The index is:

• In a fixed  $Q_F$  sector the index is non-vanishing

• Take for simplicity  $Q_F = 0$ , then

$$\tan\left(\frac{y_c}{2}\right) = (q-1)\cot\left(\frac{(q-1)y_c}{2}\right), \quad \Rightarrow \quad \frac{d}{dq}s_0 = \frac{y_c}{2}\cot\left(\frac{(q-1)y_c}{2}\right)$$

$$\mathcal{J}(y) = \operatorname{Tr}\left[(-1)^{F}e^{iyQ_{F}}e^{-\beta H}\right]$$

$$= \left(2\cos\left(\frac{y}{2}\right)2\sin\left(\frac{q-1}{2}y\right)\right)^{N}$$

$$\operatorname{Tr}_{Q_F}\left[(-1)^F\right] = \int_0^{2\pi} \frac{dy}{2\pi} e^{-iyQ_F} \mathcal{J}(y)$$
$$= e^{Ns_0(Q_F)}$$

Introduce two chiral super fields made of fermions and auxiliary bosons

$$\Psi^{i} = \psi^{i}(\tau + \theta\bar{\theta}) + \theta b_{\psi}^{i}(\tau), \quad X^{i} = \chi^{i}(\tau + \theta\bar{\theta}) + \theta b_{\chi}^{i}(\tau)$$

The action includes the interaction term now

$$\mathscr{L} \supset i \int d\theta \ C$$

 $\sum_{iik} \Psi^i \Psi^j X^k + h.c.$ 

• Derive Schwinger-Dyson equations in terms of two superfields  $\mathscr{G}_w(Z_1, Z_2)$  and  $\mathscr{G}_{\gamma}(Z_1, Z_2)$ 

## **Schwinger-Dyson Equations**

• Consider a solution with  $G_{\psi b} = G_{b\psi} = 0$ , and with time translation. We need to solve

$$\Sigma_{\psi\psi} = J(q-1)(G_{\psi\psi}^{q-2}G_{b_{\chi}b_{\chi}} + (q-2)G_{b_{\psi}b_{\psi}}G_{\chi\chi}G_{\psi\psi}^{q-3}), \qquad \Sigma_{b_{\psi}b_{\psi}} = J(q-1)G_{\psi\psi}^{q-2}G_{\chi\chi}G_{\psi\psi}^{q-3})$$

$$\Sigma_{\chi\chi} = J(q-1)G_{b_{\psi}b_{\psi}}G^{q-2}_{\psi\psi}, \qquad \Sigma_{b_{\chi}b_{\chi}} =$$

• We will solve these equations in the IR  $|J\tau| \gg 1$  with a conformal ansatz ( $A = \psi, \chi$ )

$$G_{AA}(\tau) = g_{AA} \frac{-e^{\pi \mathscr{E}_A} \Theta_{-\tau} + e^{-\pi \mathscr{E}_A} \Theta_{\tau}}{|\tau|^{2\Delta_A}}, \qquad G_{b_A b_A}(\tau) = g_{b_A b_A} \frac{e^{\pi \mathscr{E}_{b_A}} \Theta_{-\tau} + e^{-\pi \mathscr{E}_{b_A}} \Theta_{\tau}}{|\tau|^{2\Delta_{b_A}}}$$

$$= JG_{\psi\psi}^{q-1}$$

## **Conformal Solution**

• Boson spectral asymmetries:

• Scaling Dimensions constrain:

• The four equations determine only two prefactors  $g_{b_{y}b_{y}}g_{\psi\psi}^{q-1}$  and  $g_{\psi\psi}^{q-2}g_{\chi\chi}g_{b_{\psi}b_{\psi}}$  and consistency determines

$$\begin{split} \mathscr{E}_{b_{\psi}} &= -(q-2)\mathscr{E}_{\psi} - \mathscr{E}_{\chi} \\ \mathscr{E}_{b_{\chi}} &= -(q-1)\mathscr{E}_{\psi} \end{split}$$

$$\begin{split} b_{\psi} \sim \bar{\psi}^{q-2} \bar{\chi} \\ b_{\chi} \sim \bar{\psi}^{q-1} \end{split}$$

$$\Delta_{b_{\chi}} + (q-1)\Delta_{\psi} = 1$$
$$\Delta_{b_{\psi}} + (q-2)\Delta_{\psi} + \Delta_{\chi} = 1$$

 $\Delta_{\psi}(\mathscr{E}_{\psi},\mathscr{E}_{\chi}), \quad \Delta_{\chi}(\mathscr{E}_{\psi},\mathscr{E}_{\chi})$ 

### **Superconformal Solutions**

• Supersymmetry imposes  $G_{b_A b_A} = -\partial_{\tau} G_{AA}$ , for  $A = \psi, \chi$ . This gives two further constrains:

 $\Delta_{\chi} + (q - q)$ 

 $\mathscr{E}_{\chi} + (q -$ 

• For simplicity take  $\mathscr{C}_{\psi} = 0$ , then

The equation for  $\Delta_{\psi}$  is the same as for  $y_c \to 2\pi \Delta_{\psi}$  in the extremization of the index!

$$(-1)\Delta_{\psi} = \frac{1}{2}$$

$$(-1)\mathscr{E}_{\psi} = 0$$

$$\tan(\pi\Delta_{\psi}) = (q-1)\cot(\pi(q-1)\Delta_{\psi})$$
$$\frac{d}{dq}s_0 = \pi\Delta_{\psi}\cot(\pi(q-1)\Delta_{\psi})$$



 Under Superconformal transformations the fermions transform as chiral primaries

R-charge in the IR

 $Q_R = 0$  ground states

#### **Superconformal Solutions**

 $\mathscr{G}_{\psi}(Z_1, Z_2) \to (D_{\theta_1} \theta_1')^{\Delta_{\psi}} (D_{\bar{\theta}_2} \bar{\theta}_2')^{\Delta_{\psi}} \mathscr{G}_{\psi}(Z_1', Z_2')$ 

$$\mathscr{G}_{\chi}(Z_1, Z_2) \to (D_{\theta_1} \theta_1')^{\Delta_{\chi}} (D_{\bar{\theta}_2} \bar{\theta}_2')^{\Delta_{\chi}} \mathscr{G}_{\chi}(Z_1', Z_2')$$

• This gives an assignment  $Q_R[\psi] = 2\Delta_{\psi}$ ,  $Q_R[\chi] = 2\Delta_{\chi}$ , and completely determines the  $Q_R = Q_{\gamma} + 2\Delta_w Q_F$ 

Since the R-charge of the supercharge is one, we get the simplest Schwarzian with only

#### Index Maximization

• Why does the fermion dimension match with the saddle point for y?

maximizing the absolute value of [Bah, Heydeman, GJT, Zhao, WIP] Stated in [Benini Hristov Zaffaroni 15]

entropy

$$y_c = \pi \alpha$$

• Index-maximization in 1D nSCFT: Define  $R = R_0 + \alpha F$ , then the coefficient is picked by

#### Tr $e^{i\pi R}$

Moreover, in the large N limit the maximal value of the index matches the ground state

## N = 2 Schwarzian Theory

• At low temperatures the Schwarzian dominates. The Bosonic sector has a reparametrization and U(1) modes with action

$$I_{\mathcal{N}=2 \text{ Sch}} = \frac{\alpha_{\rm S} N}{J} \int d\tau$$

• The quantum Schwarzian spectrum of this model is

• This is the Schwarizan theory appearing in the near BPS limit of black holes in  $AdS_5$ [Boruch Heydeman Iliesiu GJT 22]

 $({F, \tau} + 2(\partial_{\tau}a)^2) + \text{fermions}$ 

$$Z(\beta,\mu) = e^{Ns_0} + Z_{\text{non-BPS}}$$

# Stability of Superconformal solution

- At arbitrary charges there are instabilities of the conformal solution, just like before
- It is surprising that potential instabilities also appear for Superconformal solution



The Luttinger-Ward relation resolves this issue since at the potential transition point the charge is maximal

$$Q_{\chi}(\mathscr{E}_{\text{critical}})/N = 1/2$$



- We studied the phase structure of  $\mathcal{N} = 2$  supersymmetric SYK at non zero charge
- There are phase transitions to gapped low-entropy phases. Similar to complex SYK but simpler to interpret

- We constructed models with several charges, realizing new types of Schwarizan theories at strong coupling
- Role of index maximization in nearly Superconformal quantum mechanics



## Thank you for your attention!